1	Syndesmosis Fixation in Supination-External Rotation Ankle Fractures.
2	Long-Term Results of a Prospective Randomised Study
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36	ABSTRACT
37	Backround
38	The clinical relevance and treatment of syndesmosis injury in supination-
39	external rotation (SER) ankle fractures are controversial.
40	Methods
41	After malleolar fixation 24 SER 4 ankle fracture patients with unstable
42	syndesmosis in external rotation stress test were randomised to syndesmosis
43	transfixation with a screw (13 patients) or no fixation (11 patients). Mean
44	follow-up time was 9.7 years (range, 8.9–11.0). The primary outcome measure
45	was the Olerud-Molander Ankle Outcome Score (OMAS). Secondary outcome
46	measures included ankle mortise congruity and degenerative osteoarthritis,
47	100-mm visual analogue scale for function and pain, RAND 36-Item Health
48	Survey, and range of motion.
49	Results
50	Mean OMAS in the syndesmosis transfixation group was 87.3 (SD 15.5) and in
51	the no-syndesmosis-fixation group 89.0 (SD 16.0) (difference between means
52	1.8, 95% CI -10.4 – 14.0, <i>P</i> = 0.76). There were no differences between the two
53	groups in secondary outcome measures.
54	Conclusion
55	With the numbers available, SER 4 ankle fractures with unstable syndesmosis
56	can be treated with malleolar fixation only, with good to excellent long-term
57	functional outcome.
58	Keywords: Supination-external rotation; Weber B; Ankle fracture; Stress test;
59	Syndesmosis; Transfixation
60	
61	
62	

## 63 1. INTRODUCTION

64	The clinical relevance and treatment of concomitant syndesmosis injury with
65	ankle fractures are controversial [1-10]. Biomechanical evidence suggests that
66	syndesmosis transfixation is not needed in Lauge-Hansen supination-external
67	rotation (SER) ankle fractures [2], which is the most common type of ankle
68	fracture [11-14]. Three clinical series have confirmed these biomechanical
69	findings by Boden et al [2,4,15,16]. However, several retrospective and
70	prospective case series studies have shown that syndesmosis instability and
71	widening of the distal tibiofibular joint results in poor clinical outcome, pain, and
72	early degenerative osteoarthritis (OA), mainly in high fibular (Lauge-Hansen
73	pronation-external rotation/Weber C -type) fractures [1,11,16-18]. Therefore,
74	many authors recommend syndesmosis transfixation in ankle fractures
75	presenting with an unstable syndesmosis in the syndesmosis stress test after
76	fracture fixation, even in SER fractures [1,6,16,18-23].
77	
78	Syndesmosis transfixation with a screw restrains normal motion of the distal
79	tibiofibular joint [24-26]. In addition, syndesmosis malreduction rates when
80	using syndesmosis transfxation vary from 16 to 52 %, and malreduction may
81	lead to inferior clinical outcome [4,18,27-30]. The use of syndesmosis

82 transfixation screw might also lead to additional surgery due to removal of the

83 screw, especially if quadricortical transfixation screw is used [24,31-33].

84 Reported syndesmotic screw removal rates vary from 6 to 100 %, depending on

hospital protocol and whether a tri- or quadricortical screw is used [34-36]. Due

86 to problems and possible additional surgeries related to syndesmosis

87 transfixation with a screw, unnecessary use of transfixation screw should be88 avoided.

89

90 Only two prospective randomised studies have compared syndesmosis fixation 91 with no fixation in cases of unstable syndesmosis in conjunction with ankle 92 fractures [4,7]. Pakarinen et al in their randomised controlled trial (RCT) 93 compared syndesmosis screw fixation with no syndesmosis fixation in SER 94 4/Weber B-type ankle fractures with unstable syndesmosis after malleolar 95 fixation and found no difference in functional outcome, or pain after one year 96 follow-up [7]. Mid-term results (mean follow-up 4.8 years) of the same RCT 97 showed no differences between the two groups in functional outcome, pain or 98 radiological findings [8]. Kennedy et al. (2000) found similar results in a quasi-99 randomised study with low Weber C ankle fractures [4]. 100

101 This study is an extension of a previous RCT [7, 8], comparing syndesmosis

102 transfixation with no syndesmosis fixation in patients with SER 4/Weber B-type

103 fractures presenting unstable syndesmosis after malleolar fixation in a

104 standardised (7.5 Nm) external rotation stress test (ER-test). The aim of our

study was to present long-term clinical and radiographical follow-up results.

106

## 107 2. PATIENTS AND METHODS

The local ethics review board approved the study plan and all patients gave
written informed consent. The study was conducted in accordance with the
Declaration of Helsinki. The original study was registered at ClinicalTrials.gov
(NCT01234493).

112

113 Pakarinen et al., using an intraoperative ER stress test, identified 24 patients

114 with unstable syndesmosis after fixation of malleolar fractures out of 140

operatively treated patients aged 16 years or older with Lauge-Hansen SER

116 4/Weber B -type ankle fractures from July 2007 to June 2009 at Oulu University

117 Hospital [7].

118

The primary hypothesis was that anatomical reduction of malleolar fractures
allows the syndesmosis to heal properly and syndesmosis transfixation is not
needed.

122

123 The power calculations showed that 30 patients per group would be enough to

124 show a clinically significant 20% difference in Olerud-Molander Ankle Outcome

125 Score (OMAS) between the groups (standard deviation [SD] 24 points,

126  $\alpha = 0.05$ ,  $\beta = 0.2$ , and 20% estimated drop-out). However, the study was

127 terminated prior to completion due to an unexpectedly low incidence of

syndesmosis injuries (24/140 patients, 17%), and interim analysis showed no

129 differences between the groups. Post hoc power analysis of the results showed

that 199 patients per group would have been needed to obtain enough statisticalpower [8].

132

133 The lateral malleolus fracture was fixed with two 3.5-mm cortical screws or with 134 a one-third tubular plate with or without a lag screw. Medial malleolar fractures 135 were fixed with two partially-threated 3.5-mm cancellous screws. If posterior malleolus fracture involved over 30 % of the articular surface on the lateral 136 137 radiograph, it was fixed with 3.5-mm partially-threated cancellous screws from 138 anterior to posterior. After malleolar fixation syndesmosis stability was assessed 139 intraoperatively by standardised 7.5-Nm ER-test for both ankles [7,20,37]. ER-140 test was done using a F-tool-like fork as described by Jenkinson et al. [20]. A 141 positive test result was defined as more than 2 mm side-to-side difference in 142 tibiotalar or tibiofibular clear spaces (TTCS, TFCS). Thirteen patients were

randomised to the syndesmosis transfixation group with one 3.5 mm tricorticalscrew, and 11 to the no-syndesmosis-fixation group.

145

All patients had a similar postoperative protocol of immobilisation for 4 weeks 146 with a synthetic below-the-knee cast and weight bearing as tolerated [7]. 147 148 The primary outcome measure was the OMAS [38,39]. Secondary outcome 149 measures included a 100-mm visual analogue scale (VAS) for function and pain 150 [40], the RAND 36-Item Health Survey (RAND-36, for health-related quality of 151 life) [41], range of motion (ROM) of the injured ankle [42,43], and radiographic 152 findings (Talocrural joint [TC] OA and ankle mortise congruity) [44]. Individual 153 RAND-36 results were also compared with results of an age-matched pair from 154 the general population. Questionnaires along with the consent forms were sent 155 to the patients via postal mail and completed questionnaires and consent forms were collected at the outpatient clinic visit. If the patient was unable to attend 156 157 the follow-up visit the completed questionnaires and consent forms were 158 returned via postal mail. 159 160 Mean follow-up time was 9.7 years (range, 8.9–11.0). Twenty-three patients (13 161 transfixation, 10 no fixation) returned completed consent forms and 162 questionnaires. Outpatient visits were carried out from December 2017 to

163 January 2019.

164

165 Twenty patients (12 transfixation, 8 no-fixation group) attended the outpatient

166 clinic visit. At the outpatient clinic the patients were interviewed, the injured

167 ankle was examined, and ROM was measured. Standing mortise and lateral plain

168 radiographs were taken. Any additional past operations of the injured ankle

169 were recorded. An orthopedic resident who had completed university hospital

170	trauma training or a senior orthopedic trauma surgeon conducted the clinical
171	examination. Doctors carrying out the follow-up visits were blinded to group
172	allocation when possible. ROM of the injured ankle was measured using a
173	goniometer [42,43].
174	One patient from the no-syndesmosis-fixation group was excluded due to
175	intracranial haemorrhage (approximately 10 years after the index trauma) and
176	loss of ambulatory function.
177	
178	A study flowchart is shown in Figure 1.
179	
180	2.1 Radiological assessment
181	TC joint congruity was assessed from the plain standing ankle radiographs by
182	TTCS and TFCS. Measurements were done on a diagnostic workstation to 1-mm
183	accuracy. The measurements were calibrated using a 30-mm calibration disc and
184	the dimensions of a small fragment fixation screw (3.5 mm; Synthes, Valencia,
185	CA).
186	Radiological assessment and grading of OA were done from plain radiographs by
187	an experienced musculoskeletal radiologist who was blinded to the clinical
188	outcome. TC joint OA was graded according to the Kellgren-Lawrence
189	classification (K-L) [44].
190	
191	2.2 Randomisation
192	A computer-generated randomisation list was generated by a biostatistician
193	independent of the treatment process. The randomisation process was done in
194	1:1 ratio with randomly changing block sizes of 4 and 6. Sequentially numbered
195	and sealed envelopes to allocate each patient to syndesmosis transfixation or the

196 no-syndesmosis-fixation group were done by a research assistant who was not

involved in patient clinical care. If the ER-test was positive, the operating
surgeon performed the randomisation by opening the next available sealed
envelope.

200

#### 201 **2.3 Statistical analysis**

202 All analyses were performed using IBM SPSS Statistics for Windows, Version 25.0. Armonk, NY: IBM Corp.) and SAS (version 9.4, SAS Institute, Cary, NC). 203 204 Summary measurements are presented as mean with standard deviation (SD) unless other stated. Student's *t*-test or the Welch test was used to compare 205 continuous variables, the latter if variances were heterogeneous. Pearson's  $\chi^2$ -206 207 test or Fisher's exact test were used for categorical variables. For repeatedly 208 measured continuous variables, we used a repeated-measures mixed-model ANOVA with time, group, and time×group as fixed effects and patient as a 209 210 random effect. As the repeated-measures mixed model allows the analysis of 211 unbalanced datasets without imputation, we analyzed all available data. We 212 report the between-group differences for all continuous outcomes, and 95% 213 confidence intervals (CI) according to the repeated-measures mixed model. 214 Two-tailed *P* values are reported. *P* value <0.05 was considered statistically 215 significant.

216

#### 217 **3. RESULTS**

218 Baseline data for study groups is presented in Table 1.

219

220 At the final follow-up, mean OMAS in the syndesmosis transfixation group was

87.3 (SD 15.5) and in the no-syndesmosis-fixation group 89.0 (SD 16.0)

222 (difference between means 1.8, 95% CI: -10.4 –14.0, *P* = 0.767). VAS (pain and

function), RAND 36-item health survey (physical and bodily pain), and ROM did

not differ significantly between the two groups at final follow-up (Table 2).

225

226 No differences were detected between the study population and general

227 population in terms of RAND-36 (physical and bodily pain) (mean difference 5.8,

228 95% CI: -0.3–11.8, *P* = .062; and 3.6, 95% CI: -7.1–14.2, *P* = 0.496, respectively,

both in favor of the study population).

230

231 In weight-bearing radiographs, the ankle mortise remained congruent in all

232 patients (Table 3). In the syndesmosis transfixation group all patients had K-L 2

OA in the TC joint. In the no-syndesmosis-fixation group 1 patient had K-L 1, 5

patients K-L 2, and two patients K-L 3 OA. Only in 1 patient (in the syndesmosis

transfixation group) did the OA grade deteriorate from K-L 1 to K-L 2 between

the mid-term and final follow-up visit.

237

In 2 patients the syndesmosis screw was broken and left in place, and 4 patients

239 had had the screw removed due to local irritation. Six patients had an intact

transfixation screw in place, and all showed signs of loosening in the

241 radiographs.

242

## 243 4. DISCUSSION

In this long-term follow-up of a prospective randomised study, we found that in
patients with SER 4/Weber B –type ankle fractures and unstable syndesmosis
after fracture fixation, syndesmosis transfixation compared with no syndesmosis
fixation yielded similar functional and radiological results after a mean of 9.7
years of follow-up. These long-term results confirm the short- and mid-term

results reported previously from this same study [7,8].

251 According to previous literature, syndesmosis instability is linked to early 252 degenerative changes of the tibiotalar joint and poor functional outcome 253 [1,16,18]. However, the strength of evidence for stabilising nondisplaced 254 unstable syndesmosis in ankle fractures is limited [10]. Also, it is not clear if 255 syndesmosis transfixation in these injuries would improve clinical outcome [10]. 256 According to our study findings, in SER 4/Weber B –type ankle fractures 257 syndesmosis injury will heal properly after anatomical reduction and fixation of 258 malleoli without additional syndesmosis transfixation. The ankle mortise was 259 stable enough after malleoli fixation to bear weight as tolerated with a synthetic 260 cast, even with an external-rotation-unstable ankle mortise. 261 262 After a mean of 9.7 years of follow-up, the study's primary outcome, OMAS, 263 showed similar ankle functional outcome in both groups—mostly from good to 264 excellent. Also, in a previous study these same 24 patients were matched (sex,

age and fracture anatomy) with 24 patients with SER 4/Weber B ankle fracture

266 and ER-test stable syndesmosis after malleolar fixation, without any significant

267 differences in terms of functional outcome, pain, or radiographic results [9].

268 These results are comparable to previously reported long-term follow-up results

269 of SER ankle fractures despite the fact that in the previous study patients with

270 more benign fracture types, from SER 2 to SER 4, were also included [45]. Our

271 results are consistent with the published biomechanical data and clinical studies

272 stating that syndesmosis transfixation is not needed in patients with SER-type

ankle fractures [2,4,7,8,15,16].

274

275 The secondary outcomes of the study were designed to capture the ankle

276 functional outcome more comprehensively and also the patients' health-related

250

quality of life. These secondary results strengthened the findings of the study's
primary outcome by demonstrating that there were no differences between the
study groups. Four patients (30%) of the syndesmosis transfixation group
needed additional surgery due to removal of symptomatic transfixation screw,
which can be considered as a treatment related harm. Our study syndesmosis
screw removal rate is comparable to previously reported removal rates for
symptomatic tricortical syndesmosis screws varying from 6 to 60 % [34,36,46].

285 Analysis from plain standing ankle radiographs revealed no significant

differences between groups. From the mid-term to final follow-up, only 1 patient

287 (syndesmosis transfixation group) had OA grade deterioration from K-L 1 to K-L

288 2. Contrary to earlier thoughts about syndesmosis injury in conjunction with

289 SER/Weber B-type ankle fractures, malleolar fixation only, without syndesmosis

transfixation, did not lead to widening of the ankle mortise or early degenerative

osteoarthritis [1,47]. Posttraumatic OA usually occurs in the first 2 years after

the injury [12], but more severe posttraumatic OA is suggested to develop

rapidly [48]. The highest risk for ankle fusion or arthroplasty due to

294 posttraumatic OA after ankle fracture is during the first 3 years after the injury

[48], though the development of post-traumatic osteoarthritis has been

suggested to take even more time [49,50].

297

298 To our knowledge this is the first study reporting long-term follow up results

299 comparing syndesmosis transfixation with no fixation in patients with

300 SER4/Weber B –type ankle fracture and unstable syndesmosis after malleolar

301 fixation. Syndesmosis instability was detected using a reliable and standardised

302 method. Additionally, the long-term follow-up and excellent follow-up rate

303 (96%) strengthen the study's findings. Some limitations warrant discussion, as

304 the small number of patients leaves us with the possibility of a type II error. 305 However, the main reason for the limited number of patients in this study is the 306 chosen two-millimeter side-to-side difference threshold for unstable 307 syndesmosis instead of the one-millimeter threshold used by previous authors 308 [20]. This two-millimeter threshold was chosen to detect significant 309 syndesmosis instability more accurately during the surgery. Additionally, 310 previous follow-up studies of this trial using a variety of different measuring 311 tools have consistently shown almost identical findings across the study groups 312 [7,8]. Not a single case of widened ankle mortise or premature ankle joint osteoarthritis has been found. All this despite the fact that clearly unstable 313 314 syndesmoses were left unfixed and patients were allowed to bear full weight 315 with a synthetic cast immediately after surgery. According to our results it 316 would be safe to conduct a multicentre study in a larger patient population, to 317 definitively address the indications for syndesmosis transfixation.

318

#### 319 **5. CONCLUSION**

With the numbers available, it seems that SER 4/Weber B –type ankle fractures with unstable syndesmosis can be treated with malleolar fixation only and have good to excellent ankle functional outcome without an increased risk of widening of the ankle mortise or posttraumatic OA. Due to low number of patients, a multicentre study in a larger patient population is needed, to definitively address the indications for syndesmosis transfixation.

326

#### 327 **6. FUNDING**

328 This research did not receive any specific grant from funding agencies in the329 public, commercial, or not-for-profit sectors.

## 331 7. CONFLICT OF INTEREST

- 332 Authors declare that they have no financial or personal relationships that could
- influence this study.
- 334

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Table 1. Patient Characteristics at Baseline.498					
	Syndesmosis	No syndesmosis			
	transfixation	fixation			
Ν	13	11 500			
Age (mean, years)	42.5 (SD 11.6)	44.9 (SD 14.2)			
Gender (male/female)	8/5	7/4 501			
SER4/Weber B ankle fracture	13/13	11/11			
Fracture characteristics		502			
Fibula only	9	<sup>6</sup> 503			
Fibula + medial malleolus	1	2			
Fibula + posterior malleolus	1	3			
Trimalleolar	2	0			
Open fracture	0	0			
Comorbidities	4	1			

N, number of patients; SD, standard deviation; SER4, Lauge-Hansen supination-external rotation type 4 ankle fracture

Comorbidities: diabetes, arteriosclerosis obliterans, alcoholism

	*			Difference hetzeen	OF 0/ Confidence	
				Difference between	95 % Confidence	_
	1 year	Mid-term	Final follow-up	means <sup>a</sup>	interval <sup>a</sup>	$P^{\mathrm{a}}$
Olerud-Molander, mean (SD)						
Syndesmosis transfixation	79.6 (15.5)	81.2 (16.3)	87.3 (15.5)	1.8	-10.4-14.0	0.767
No syndesmosis fixation	83.6 (13.1)	92.7 (9.3)	89.0 (16.0)	1.0	-10.4-14.0	0.707
VAS function <sup>b</sup> , mm, mean (SD)						
Syndesmosis transfixation	22.6 (24.6)	11.6 (15.2)	16.2 (21.8)	2.2	-17.3–12.9	0.765
No syndesmosis fixation	14.8 (15.0)	5.6 (7.9)	14.6 (20.7)	-2.2		
VAS pain <sup>b</sup> , mm, mean (SD)						
Syndesmosis transfixation	25.5 (25.4)	10.7 (14.9)	10.5 (13.1)	1 5	-13.2-16.2	0.836
No syndesmosis fixation	11.3 (12.5)	4.3 (8.0)	12.2 (22.1)	1.5		
RAND-36 physical, mean (SD)						
Syndesmosis transfixation	78.3 (23.3)	85.8 (19.0)	84.6 (19.7)	<b>- -</b>	10 1 01 1	0.478
No syndesmosis fixation	88.3 (18.7)	92.3 (14.6)	89.5 (20.1)	5.5	-10.1-21.1	
RAND-36 pain, mean (SD)						
Syndesmosis transfixation	63.4 (33.0)	78.4 (22.5)	69.8 (25.2)	1()	-2.3-34.7	0.085
No syndesmosis fixation	84.4 (13.7)	89.1 (12.8)	85.0 (20.2)	16.2		
Range of motion, degree, mean (SD)						
Syndesmosis transfixation	62.9 (11.6) <sup>c</sup>	75.8 (9.8)	68.4 (19.0)	2.0		0.512
No syndesmosis fixation	58.5 (11.8) <sup>c</sup>	75.0 (10.8)	71.6 (7.0)	3.8	-7.7–15.2	

Table 2. Functional Parameters During the Follow-up and Between-Group Differences at Final Follow-up.

<sup>a</sup> at final follow-up

<sup>b</sup> Range 0–100, with higher scores indicating more severe pain or dysfunction

<sup>c</sup> Measurement at 12 weeks

	12 weeks	Mid-term	Final follow-up	Difference between means <sup>a</sup>	95% Confidence Interval <sup>a</sup>	Pa
TTCS, mean (SD)						
Syndesmosis transfixation	3.5 (0.8)	2.8 (0.8)	2.8 (0.8)	0.6	-0.1-1.2	0.077
No syndesmosis fixation	3.2 (0.6)	2.9 (0.8)	3.3 (0.7)	0.0	-0.1-1.2	
TFCS, mean, (SD)						
Syndesmosis transfixation	sis transfixation 5.4 (2.0) 5.5 (1.3) 5.0 (1.7)	0.9	-0.4-2.2	0.174		
No syndesmosis fixation	5.5 (1.2)	5.9 (0.9)	6.1 (1.1)	0.7	-0.4-2.2	0.174

# Table 3. Radiographic Measurements During Follow-up and Between-Group Differences at Final Follow-up.

TTCS, tibiotalar clear space; TFCS, tibiofibular clear space <sup>a</sup> At final follow-up