

1 The effectiveness of technology-based interventions compared to other non-  
2 pharmacological interventions for relieving procedural pain in hospitalized  
3 neonates: a protocol for a systematic review  
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23 Conflicts of interest

24 There is no conflict of interest in this project.

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26 The effectiveness of technology-based interventions compared to other non-pharmacological  
27 interventions for relieving procedural pain in hospitalized neonates: a systematic review  
28 protocol

29 Abstract

30 **Objective:** The objective of this review is to evaluate the effectiveness of technology-based  
31 interventions in relieving procedural pain in hospitalized neonates compared to other non-  
32 pharmacological interventions.

33 **Introduction:** Neonates requiring hospital care often experience acute pain during medical  
34 procedures. The current best practice for relieving pain in neonates is the use of non-  
35 pharmacological interventions, such as oral solutions or intervention-based human touch.  
36 Technological solutions (such as games, eHealth applications, and mechanical vibrators) have  
37 become more commonplace in pediatric pain management over recent years. However, there is a  
38 knowledge gap about how effective technology-based interventions are at relieving pain in  
39 neonates.

40 **Inclusion criteria:** This review will consider experimental trials that include technology-based  
41 non-pharmacological interventions for relieving procedural pain in hospitalized neonates. Primary  
42 outcomes of interest include pain response to a procedure measured by a validated pain assessment  
43 scale for neonates, behavioral indicators and/or changes in physiological indicators.

44 **Methods:** MEDLINE (Ovid), CINAHL (EBSCO), Scopus (Elsevier), Cochrane Central Register  
45 of Controlled Trials, and the Medic databases will be searched for studies published in English,  
46 Finnish, and Swedish. Critical appraisal and data extraction will be conducted by two independent  
47 researchers following JBI methodology. Quantitative data will be pooled in statistical meta-  
48 analyses. If statistical analysis is not possible, the findings will be reported narratively.

49 **Systematic review registration:** PROSPERO CRD42021254218

50 **Keywords:** acute pain; device; neonate; non-pharmacological

51

## 52 Introduction

53 Neonates experience procedural pain (eg, vitamin K injections, immunizations, and heel sticks for  
54 screening tests) as a part of routine neonatal care during the first days of life.<sup>1</sup> A considerable share  
55 of newborns require hospital treatment immediately after birth due to medical conditions, such as  
56 infection, congenital anomaly, respiratory failure, low birth weight<sup>2</sup> and premature birth before the  
57 37th gestational week.<sup>3</sup> Prematurity is also the most common reason for admission to the neonatal  
58 intensive care unit,<sup>3</sup> where painful medical and nursing procedures are part of the required care.<sup>4,5</sup>

59 Several studies have quantified the painful procedures performed on hospitalized neonates. The  
60 most common painful procedures in neonates are heel lance, intramuscular injection, and  
61 venipuncture. The heel lance is an equally common procedure in both pre- and full-term neonates,<sup>6</sup>  
62 but the exposure to pain varies between neonates. It has been observed that neonates experience a  
63 median of 16 painful procedures every day during the first 14 days of hospitalization, but the  
64 youngest newborns – as well as those with the most severe problems – experience up to 62  
65 procedures per day.

66 Providing neonates with effective pain management is a priority from both an ethical perspective as  
67 well as to guard against the potential adverse effects of pain.<sup>7</sup> Repeated procedural pain without  
68 sufficient pain alleviation during neonatal care has been shown to have adverse short- and long-term  
69 effects on physical, cognitive, and brain development in neonates born before the 32nd gestational  
70 week. Frequent procedural pain is associated with delayed early postnatal body and head growth,<sup>8</sup>  
71 and with slower head circumference growth at six- and 12-months corrected age.<sup>9</sup> The number of  
72 skin-breaking procedures a neonate has experienced is negatively correlated with cognitive outcome  
73 at 18-months corrected age,<sup>10</sup> while further research has shown that skin-breaking procedures  
74 contribute to abnormalities in the white matter microstructure of the brain and a lower intelligent  
75 quotient at school age.<sup>11</sup>

76 Despite evidence that pain during the neonatal period can cause long-term consequences,  
77 procedural pain appears to be undertreated.<sup>7</sup> The current knowledge base indicates that non-  
78 pharmacological interventions, such as oral sucrose, skin-to-skin contact (SSC),  
79 containment/facilitated tucking, non-nutritive sucking and breastfeeding are suitable for  
80 alleviating the pain caused by small procedures.<sup>7</sup> Using oral sucrose alone or with non-nutritive  
81 sucking is the most frequently studied non-pharmacological method for procedural pain  
82 management in neonates. Sucrose is effective at alleviating the procedural pain related to skin-

83 breaking procedures (eg, heel lance, venepuncture, and intramuscular injection) in full- and pre-  
84 term infants.<sup>12</sup>

85 Skin-to-skin contact, during which a naked, diaper-dressed infant is placed on the caregiver's bare  
86 chest, provides a natural opportunity for the baby's parents to participate in pain management.  
87 There is empirical evidence that SSC reduces the pain caused by heel lance and intramuscular  
88 injection.<sup>13</sup> The effectiveness of SSC appears to be unaffected by whether the provider is the mother  
89 or another person, and no side effects have been reported for this method.<sup>13</sup> Facilitated tucking also  
90 allows parents to be involved in relieving their baby's pain.<sup>14</sup> During endotracheal suctioning, the  
91 facilitated tucking position – relative to routine care – is effective at managing pain in preterm  
92 neonates, yet does not demonstrate a significant advantage over oral glucose or opioids when  
93 used during heel stick.<sup>14</sup>

94 However, non-pharmacological pain relief methods also have certain limitations. For example, there  
95 is currently insufficient evidence that oral sucrose is effective at reducing the pain caused by some  
96 procedures, such as arterial puncture and nasogastric tube insertion.<sup>12</sup> It is also possible that  
97 repeated doses of sucrose in very preterm neonates may not be safe.<sup>15</sup> The appropriate dose of SSC,  
98 its effects over repeated use, and suitability for neonates of different gestational ages remain unclear  
99 due to the heterogeneity of previous studies.<sup>13</sup> In summary, there is not enough evidence to deem  
100 one of the aforementioned non-pharmacological interventions as the superior technique for pain  
101 management. Each of these methods provides some pain relief for neonates, but is not completely  
102 effective.<sup>16</sup>

103 The use of technology-based interventions in the treatment of pediatric pain has increased over the  
104 past years; for example, two recent systematic reviews investigated the effectiveness of vibratory  
105 stimulation for needle-related procedural pain management in children.<sup>17,18</sup> The reviews revealed  
106 that the vibrator device was able to significantly reduce self-,<sup>17,18</sup> parent-,<sup>17</sup> and observer-reported  
107 procedural pain.<sup>17,18</sup> There is also some evidence that virtual reality distraction is effective at  
108 relieving pain among children. Studies have found that virtual reality interventions can relieve  
109 needle-related pain,<sup>19</sup> pain related to burn wound cleaning,<sup>20</sup> and dental treatment.<sup>21</sup> Nevertheless,  
110 there remains limited empirical evidence on how effective virtual reality is at relieving pain among  
111 children.<sup>22</sup> Humanoid robots represent the latest technology for procedural pain management in  
112 children.<sup>23-25</sup>

113 In summary, previous systematic reviews that have evaluated whether technology-based  
114 interventions are effective for pain management in pediatric patients have focused on children  
115 and/or adolescents aged 0-18 years.<sup>17,18,22,24</sup> Of these reviews, neonates were only covered by the  
116 work of Ueki et al.,<sup>18</sup> with one of the included randomized controlled studies evaluating the  
117 effectiveness of vibratory stimulation in alleviating pain among neonates during heel stick. It is  
118 important to note that technology is becoming increasingly prevalent in neonatal care<sup>26</sup>; as such, it  
119 would be useful to investigate the effectiveness of technology-based methods in neonatal pain  
120 management. A preliminary search of PROSPERO, MEDLINE, the Cochrane Database of  
121 Systematic Reviews, and *JB* Evidence Synthesis was conducted, with no current or in-progress  
122 systematic reviews on the topic identified.

### 123 **Review question**

124 What is the effectiveness of technology-based interventions at relieving procedural pain in  
125 hospitalized neonates compared to other non-pharmacological interventions?

### 126 **Inclusion criteria**

127 This review will consider studies that include both hospitalized full-term neonates (> 37 completed  
128 weeks postmenstrual age) and pre-term neonates (< 37 completed weeks postmenstrual age) to a  
129 maximum postpartum age of one month or with a corrected age who are undergoing a procedure  
130 that may cause pain

### 131 **Interventions**

132 This review will consider technology-based, non-pharmacological intervention studies that evaluate  
133 how effective a certain intervention is at managing procedural pain in hospitalized neonates. In the  
134 context of this review, technology refers to an electronic device or computer technology. Several  
135 examples of technological interventions that can be considered include: mechanical vibration or  
136 vibrator, transcutaneous electrical nerve stimulation, games, audio intervention, virtual reality, and  
137 robots.

### 138 **Comparators**

139 This review will consider studies that compare a technology-based intervention to alternative non-  
140 pharmacological intervention including for example breastfeeding, facilitated tucking, holding, live  
141 music, non-nutritive sucking, rocking, sensorial saturation, skin-to-skin contact, swaddling, sweet  
142 solution and combinations of non-pharmacological interventions. The review will not include  
143 studies comparing technology-based intervention with other technology-based interventions.

## 144 **Outcomes**

145 To understand whether technology-based interventions are effective at alleviating pain in neonates  
146 following a painful procedure, this review will consider studies that describe the outcome of  
147 procedural pain, that is, the response to a painful procedure as measured by at least one of the  
148 following:

### 149 **Primary outcomes**

- 150 • Pain scores measured using a validated pain assessment scales for neonates (eg, COMFORT<sup>27</sup>;  
151 Neonatal Infant Pain Scale [NIPS]<sup>28</sup>; Neonatal Pain, Agitation and Sedation Scale [N-PASS]<sup>29</sup>;  
152 Premature Infant pain profile [PIPP]<sup>30</sup>; or Premature Infant Pain Profile-Revised [PIPP-R]<sup>31</sup>);
- 153 • Behavioral indicators (eg, cry duration, facial expressions);
- 154 • Changes in physiological indicators (eg, changes in heart rate, respiratory rate, oxygen saturation,  
155 near-infrared spectroscopy).

### 156 **Secondary outcomes**

- 157 • Recovery from the procedure (time during which the measured pain indicator returns to the  
158 baseline value);
- 159 • Adverse effects of the intervention (eg, apnea, bradycardia, desaturation).

160 These indicators will be categorized as “yes” or “no.”

## 161 **Types of studies**

162 This review will consider both experimental and quasi-experimental study designs including  
163 randomized controlled trials, non-randomized controlled trials, and randomized cross-over trials.

## 164 **Methods**

165 This systematic review will be conducted in accordance with JBI methodology for systematic  
166 reviews of effectiveness evidence.<sup>32</sup> This protocol is registered in PROSPERO CRD42021254218.

### 167 **Search strategy**

168 The search strategy will aim to identify both published and unpublished studies. In accordance with  
169 the JBI methodology for systematic reviews, a three-step search strategy will be used for this  
170 review.<sup>32</sup> An initial, limited search of the MEDLINE (Ovid) and CINAHL (EBSCO) databases will  
171 be undertaken to identify articles on the topic, followed by an analysis of words in the titles and  
172 abstracts of potentially relevant articles, along with the search terms used to describe the articles. A  
173 second search, including all of the identified keywords and index terms relevant to the use of  
174 technological interventions for procedural pain relief in newborns will then be performed in all of  
175 the included databases. The search strategy developed for MEDLINE (Ovid; see Appendix I) will  
176 be adapted for each separate database and/or information source. In the third step of the search, the  
177 reference lists of all included sources of evidence will be screened for additional studies that may

178 have been missed during the first two searches. Studies published in English, Swedish, and Finnish  
179 will be included. There will be no restrictions regarding the date of publication.

180 MEDLINE (Ovid), CINAHL (EBSCO), and Scopus (Elsevier) databases, along with the Cochrane  
181 Central Register of Controlled Trials and the Finnish database Medic, will be searched. In-progress  
182 and recently completed studies will be identified from clinical trial registers, and MedNar and  
183 ProQuest Dissertations and Thesis Global will be searched for unpublished studies.

#### 184 **Study selection**

185 Following the search, all identified citations will be collated and uploaded into Covidence (Veritas  
186 Health Innovation, Melbourne, Australia) systematic review software, after which duplicates will be  
187 removed. Following a pilot test, titles and abstracts will then be screened by two independent  
188 reviewers against the inclusion criteria for the review. The full-text versions of potentially relevant  
189 studies will then be retrieved and imported into the JBI System for the Unified Management,  
190 Assessment and Review of Information (JBI SUMARI; JBI, Adelaide, Australia). Full-text articles  
191 will then be assessed in detail against the inclusion criteria by two or more independent reviewers,  
192 and reasons for exclusion will be recorded and reported in the systematic review. Any  
193 disagreements that arise between the reviewers at each stage of the selection process will be  
194 resolved through discussion or via an additional reviewer. The results of the search and the study  
195 inclusion process will be reported in full in the final systematic review and presented in a Preferred  
196 Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) flow diagram.<sup>33</sup>

#### 197 **Assessment of methodological quality**

198 All of the eligible studies will be critically appraised for methodological quality by two independent  
199 reviewers using standardized critical appraisal instruments from JBI for experimental and quasi-  
200 experimental studies.<sup>32</sup> Where data are missing or additional information is needed for clarification,  
201 the authors of the paper will be contacted. Any disagreements that arise will be resolved through  
202 discussion or, if this is not possible, by including a third reviewer. The results of the critical  
203 appraisal will be reported in narrative form and in a table.

204 All studies, regardless of the results of their methodological quality, will undergo data extraction  
205 and synthesis where possible. The quality of the studies will be considered in the interpretation of  
206 results.

#### 207 **Data extraction**

208 Data will be extracted from studies included in the review by two independent reviewers using the  
209 standardized data extraction tool in JBI SUMARI.

210 The extracted data will include specific details about the participants (eg, gestational age,  
211 postpartum age, condition of health), study methods, interventions (eg, type of technology-based  
212 intervention, type of comparison), and outcomes (eg, score on a pain scale). Any disagreements that  
213 arise between the reviewers will be resolved through discussion or, if this is not possible, by  
214 including a third reviewer. Attempts will be made to contact the research team if any data are  
215 missing from a certain study.

#### 216 **Data synthesis**

217 Studies will, whenever possible, be pooled in a statistical meta-analysis using JBI SUMARI. Effect  
218 sizes will be expressed as odds ratios (for dichotomous data) and weighted (or standardized) final  
219 post-intervention mean differences (for continuous data). The corresponding 95% confidence  
220 intervals will also be calculated and presented. Heterogeneity will be statistically assessed using the  
221 standard  $\chi^2$  and  $I^2$  tests, and subgroup analysis divided full-term and preterm infant will be  
222 considered. Statistical analyses will be performed using fixed-effects or random-effects models for  
223 meta-analysis based on the guidance by Tufanaru et al.<sup>34</sup> Experimental data concerning each  
224 distinct outcome (eg, PIPP score after procedure, heart rate after procedure) will be synthesized in  
225 separate meta-analyses. Sensitivity analyses will be carried out to test whether the methodological  
226 quality or heterogeneity of the studies impacts the results. Where statistical pooling is not possible,  
227 the findings will be presented in narrative form, including tables and figures to aid in data  
228 presentation Whenever 10 or more studies are included in a meta-analysis, a funnel plot will be  
229 generated to assess publication bias. Statistical tests for funnel plot asymmetry (Egger test, Begg  
230 test, Harbord test) will then be performed.

#### 231 **Assessing certainty in the findings**

232 The Grading of Recommendations Assessment, Development, and Evaluation (GRADE) approach  
233 will be used to assess confidence in the quality of evidence.<sup>35</sup> The results of this assessment will be  
234 shown in a Summary of Findings table (SoF) created using GRADEPro software (McMaster  
235 University, ON, Canada). The SoF will present absolute risks for treatment and control, estimates of  
236 relative risk, along with a ranking of the quality of evidence based on study limitations (risk of  
237 bias), indirectness, inconsistency, imprecision, and publication bias. The outcomes reported in the  
238 Summary of Findings will be: pain score during procedure, pain score after procedure, changes in  
239 physiological indicators during procedure, physiological indicators following procedure, duration of  
240 cry following procedure and duration of recovery after procedure.



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331 Appendix I: Search strategy  
332 **MEDLINE (Ovid)**  
333 Search conducted on November 11, 2020  
334 Records retrieved: 617  
335 Language limits: English, Finnish, Swedish  
336 #1 (infant\*[Abstract, Title] or newborn\*[Abstract, Title] or baby [Abstract, Title] or  
337 neonate\*[Abstract, Title] or "premature infant"[Abstract, Title] or preemie\*[Abstract, Title])  
338 Result: 579,014  
339 #2 Infant [MeSH, Explode]  
340 Result: 1,147,346  
341 #3 #1 OR #2  
342 Result: 1,346,665  
343 #4 ("pain management"[Abstract, Title] or "pain care"[Abstract, Title] or "pain treatment"  
344 [Abstract, Title] or "pain alleviation" [Abstract, Title] or "pain relief" [Abstract, Title])  
345 Result: 56,723  
346 #5 Pain Management [MeSH, Explode]  
347 Result: 4827  
348 #6 ("procedural pain" [Abstract, Title])  
349 Result: 1132  
350 #7 #4 or #5 or #6  
351 Result: 81,435  
352 #8 Technology [MeSH, Explode]  
353 Result: 418,797  
354 #9 ("technology-based" [Abstract, Title] or technolog\* [Abstract, Title] or vibrat\*[Abstract, Title]  
355 or buzzy [Abstract, Title] or TENS[Abstract, Title] or player\*[Abstract, Title] or record\*[Abstract,  
356 Title] or headset\*[Abstract, Title] or computer [Abstract, Title] or mobile [Abstract, Title] or  
357 virtual\*[Abstract, Title] or robot\*[Abstract, Title] or video\*[Abstract, Title] or device\*[Abstract,  
358 Title] or mechanic\*[Abstract, Title])  
359 Result: 2,694,839  
360 #10 #8 or #9  
361 Result: 2,994,099  
362 #11 #3 and #7 and #10  
363 Result: 617