

# Can the $^{14}\text{C}$ production in 1054 CE be affected by SN1054?

F. Terrasi<sup>1,2\*</sup>, F. Marzaioli<sup>1,2</sup>, R. Buompane<sup>1,2</sup>, I. Passariello<sup>2</sup>, G. Porzio<sup>1,2</sup>, M. Capano<sup>3</sup>, S. Helama<sup>4</sup>, M. Oinonen<sup>5</sup>, P. Nöjd<sup>6</sup>, J. Uusitalo<sup>5,7</sup>, A. J. T. Jull<sup>8,9</sup>, I. P. Panyushkina<sup>10</sup>, C. Baisan<sup>10</sup>, M. Molnar<sup>9</sup>, T. Varga<sup>9</sup>, G. Kovaltsov<sup>11</sup>, S. Poluianov<sup>12</sup> and I. Usoskin<sup>12</sup>

<sup>1</sup> *CIRCE, Department of Mathematics and Physics, Campania University “L. Vanvitelli”, Caserta, and INFN Napoli, Italy.*

<sup>2</sup> *INNOVA SCaRL, Pozzuoli (NA), Italy*

<sup>3</sup> *CEREGE, Aix-Marseille University, CNRS, IRD, INRA, Collège de France, Technopôle de l’Arbois, Aix-en-Provence, France*

<sup>4</sup> *Natural Resources Institute Finland, Rovaniemi, Finland.*

<sup>5</sup> *Finnish Museum of Natural History - LUOMUS, University of Helsinki, Helsinki, Finland.*

<sup>6</sup> *Natural Resources Institute Finland, Espoo, Finland*

<sup>7</sup> *Department of Physics, University of Helsinki, Helsinki, Finland*

<sup>8</sup> *Department of Geosciences, University of Arizona, Tucson, USA*

<sup>9</sup> *Hertelendi Laboratory of Environmental Studies, Atomki, Debrecen, Hungary*

<sup>10</sup> *Laboratory of Tree Ring Research, University of Arizona, Tucson, USA*

<sup>11</sup> *A.F. Ioffe Physical-Technical Institute, St. Petersburg, Russia*

<sup>12</sup> *Space Climate Research Unit and Sodankylä Geophysical Observatory, University of Oulu, Oulu, Finland*

Corresponding author: Filippo Terrasi ([filippo.terradi@unicampania.it](mailto:filippo.terradi@unicampania.it))

## Key Points:

- Peak in  $^{14}\text{C}$  production observed in 1054 CE.
- Possible contribution from Crab nebula explosion.
- Solar activity cycle deconvolved.

## Abstract

Annually resolved  $^{14}\text{C}$  measurements on tree rings led to the discovery of abrupt variations in  $^{14}\text{C}$  production attributed to large solar flares. We present new results of annual and subannual  $^{14}\text{C}$  fluctuations in tree rings from a middle-latitude sequoia (California) and a high-latitude pine (Finland), analyzed for the period 1030-1080 CE, to trace a possible impact of the Crab supernova explosion, occurred during the Oort minimum of solar activity. Our results indicate an increase of  $\Delta^{14}\text{C}$  around 1054/55 CE, which we estimate is higher in magnitude than the cyclic variability due to solar activity at 2 $\sigma$  significance level. The net signal appears to be synchronized in the studied locations. Several sources of this new event are possible including gamma-rays from the Crab supernova, an unusually weak solar minimum or a solar energetic particle incident. More data are needed to provide more insight into the origin of this  $^{14}\text{C}$  event.

## 1 Introduction

Tree rings are a precious archive of the history of our planet in the last millennia, and their study has provided much information of importance to the earth sciences for many years: the cosmogenic radioisotope  $^{14}\text{C}$  (radiocarbon) carries information on cosmic-ray variability caused by solar activity [Beer *et al.*, 2012; Usoskin, 2017]. In the last decades, the feasibility of annually resolved  $^{14}\text{C}$  measurements in long growth ring sequences has extended the investigation domain to and potentially even beyond the heliosphere. Several anomalies in the trend of the  $^{14}\text{C}/^{12}\text{C}$  isotopic ratio vs. ring growth year have been observed [Büntgen *et al.*, 2018] which provided information about short-term variations of the  $^{14}\text{C}$  production rate, and are ascribed to an extraterrestrial origin. In particular two events, occurred in 774 and 993 CE, have been recorded [Miyake *et al.*, 2012; Miyake *et al.* 2013] originally in Japanese cedar trees, and later in different trees at several latitudes and elevations, as well as in data on  $^{10}\text{Be}$  and  $^{36}\text{Cl}$  in various ice cores [Mekhaldi *et al.*, 2015], revealing the global nature of the events. These events were characterized by an abrupt increase in the measured  $^{14}\text{C}$  abundance, expressed as fraction of modern carbon

$$F^{14}\text{C} = \frac{(^{14}\text{C}/^{12}\text{C})_{\text{S}[-25]}}{(^{14}\text{C}/^{12}\text{C})_{1950[-25]}} \quad (1)$$

where  $(^{14}\text{C}/^{12}\text{C})_{\text{S}[-25]}$  is the measured isotopic ratio for the sample, blank corrected and adjusted to  $\delta^{13}\text{C} = -25\text{‰}$  and  $(^{14}\text{C}/^{12}\text{C})_{1950[-25]}$  is the measured ratio of the standard, blank corrected and adjusted to  $\delta^{13}\text{C} = -25\text{‰}$  and recalculated to 1950 CE [Donahue *et al.*, 1990].

The observed increase is weakly dependent upon latitude [Usitalo *et al.*, 2018], followed by a slow decline in a few tens of years. Other records [Miyake *et al.*, 2017] show a slower rise with time. The different rise and fall time scales can be related to atmospheric circulation mixing and to the biogeochemical cycle, respectively. A detailed analysis of the timing of the 774 CE event is reported in [Usitalo, *et al.*, 2018] and [Güttler *et al.*, 2015]. The present paradigm [Mekhaldi *et al.*, 2015; Usitalo *et al.*, 2018; Usoskin *et al.*, 2013; Sukhodolov *et al.*, 2017] is that both 774 CE and 993 CE events were caused by extreme Solar Proton Events (SPEs). Another event of solar origin was found to occur around 660 BCE [Park *et al.*, 2017; O'Hare *et al.*, 2019], which appears similar to that of 993 CE. One more event was reported in [Wang *et al.*, 2017] in 3372-3371 BCE, which remains to be independently confirmed. A further rapid change of over 20‰ is observed in a tree-ring sequence at 5480 BCE [Miyake *et al.*, 2017], which may be a

combination of solar proton events with the onset of a solar minimum. Further, [Jull *et al.*, 2018] observed a similar increase (of ~14‰) over a period about 814-802 BCE, which appears at the onset of a grand solar minimum.

For all the rapid events discussed, a supernova explosion (SN) or a gamma-ray burst (GRB) origin have also been considered and excluded on the basis of the estimated increase of the production rate and the required increase in cosmic ray (CR) flux, although some models have proposed these alternatives [Hambaryan and Neuhäuser, 2013]. However, these events did not correspond to known supernova events, so our question here is to investigate  $^{14}\text{C}$  over the period of a known supernova event. [Stephenson and Green, 2003] documented five historically-observed supernovae in 1006, 1054, 1181, 1572 and 1604 CE. [Stephenson, 2015] also reviewed many astronomical phenomena from Chinese records. [Damon *et al.*, 1995] had estimated a possible effect of <6 permil  $^{14}\text{C}$  for a large event (close to  $10^{50}$  ergs) at similar distances, based on earlier estimates going back to [Lingenfelter and Ramaty, 1970]. However, it was later shown by [Menjo *et al.*, 2005] that these variations could be explained by the Schwabe cycle. A systematic investigation on possible  $^{14}\text{C}$  spikes in tree rings in the time period corresponding to several historical astronomical records of supernova (SN) explosions was reported by [Dee *et al.*, 2016], concluding that no evidence for increase in  $^{14}\text{C}$  concentration for any of the 6 examined cases, including SN1054, was found, although there is a small increase at that time in their record. [Güttler *et al.* 2013] proposed that the oscillations observed between 1010 and 1110 CE in biannual measurements on oak tree rings from south Germany can be explained by the 11 years Schwabe solar cycle.

In this work, we present new annually and sub-annually resolved data for the period 1030-1080 CE with the aim to highlight possible anomalies which could be ascribed to the Crab Nebula supernova (SN1054) explosion, which took place in 1054 CE July 4<sup>th</sup> [Mayall, 1939], during the Oort minimum of solar activity [Usoskin, 2017]. In order to investigate the possible latitude dependence of the proposed effect, we used an Arctic pine from north-eastern Finnish Lapland (68°31' N and 28°09' E, 191 m above sea level) and a mid-latitude sequoia from the Sierra Nevada Mountains, California (36°56' N and 118°75' W, 1890 m *asl*). We also compare our data with a mid-latitude oak data from Germany published by [Guttler *et al.*, 2013].

Theoretically, a notable increase of  $^{14}\text{C}$  production could be associated with  $\gamma$ -ray emission from a gamma-ray burst or a SN explosion [Pavlov *et al.*, 2013]. Contribution from charged cosmic-ray (CR) particles in a case of GRB or a distant SN is not expected. The CR signal from SN1054 (~2 kpc distance) would be strongly attenuated and delayed by about a million years due to diffusive transport of charged particles scattered due to inhomogeneities of galactic magnetic field [Berezinskii *et al.*, 1990]. A very conservative estimate yields that an  $F^{14}\text{C}$  increase of a few permil would require about  $10^{51}$  erg released by the SN in photons with energy >10 MeV, assuming an isotropic flux. Since typically <1% of the total energy of SN explosion is released as hard emission [Pavlov *et al.*, 2013], this would lead to roughly  $10^{53}$  erg of the total SN explosion, which is several orders of magnitude greater than kinematic estimates of ~ $10^{50}$  erg [Yang and Chevalier, 2015]. Thus, if a statistically significant increase in  $F^{14}\text{C}$  is observed in 1054 CE, the standard SN model cannot explain it and would eventually need to be revisited. It should be mentioned that an unambiguous marker of non-SN origin of a  $^{14}\text{C}$  enhancement would be the presence of a simultaneous significant and hemispherically-symmetric increase of  $^{10}\text{Be}$ : gamma-rays may produce  $^{14}\text{C}$  but not  $^{10}\text{Be}$  in the Earth's atmosphere [Pavlov *et al.*, 2013]. Accordingly, a radiocarbon signal alone is not sufficient to conclude on possible GRB origin of any enhancement and only a multi-proxy analysis can make the ultimate assessment.

## 2 Materials and Methods

### 2.1 Sampling and sample treatment

The pine (*Pinus sylvestris*) set of tree rings is sampled from a subfossil tree trunk preserved in the sediments of Lake Kompsiojärvi, in Finnish Lapland. The tree was unearthed during the fieldwork by scuba diving in the sediment of Lake Kompsiojärvi. This subarctic site is located in north-eastern Finnish Lapland. The tree trunk was pulled to the shore, the sample disk was sawn and the trunk was returned to the lake. Tree-ring widths were measured in the tree-ring laboratory of Natural Resources Institute Finland, to the nearest 0.01 mm and the resulting series was cross-dated statistically and visually against the existing master chronology [Eronen *et al.* 2002; Helama *et al.*, 2008]. The rings of this sample represent the 996 - 1236 CE period. The ring separation for the interval 1031 CE through 1080 CE was done using surgical blades under microscope. The wood slivers were processed to  $\alpha$ -cellulose [Helama *et al.*, 2015], featuring multiple glass funnels connected via custom-built PTFE drainage blocks. The process consists of two alkaline extractions (NaOH), with chlorination step (NaClO<sub>2</sub>) in between. The resulting  $\alpha$ -cellulose was homogenized using an ultrasonic probe [Laumer *et al.*, 2009] and freeze-dried.

Sequoia set of tree rings was subsampled for earlywood and latewood sections for the interval 1037-1067 CE. We used the giant sequoia specimen CMC-6f (*Sequoiadendron giganteum* (Lindl.) Buchholz) archived at the Laboratory of Tree-Rings Research (LTRR), University of Arizona. The sequoia specimen was collected in the 1980s at the Circle Meadow Center site located on the western slope of south-central part of the Sierra Nevada Mountains in the King Canyon National Park, California. The calendar age of the rings was established with cross-dating of the CMC-6f ring-width series against the regional giant sequoia tree-ring chronology from the central Sierra Nevada [Brown *et al.*, 1992]. The tree-ring widths were measured on a LINTAB measuring stage at 0.01 mm precision. The early and latewood of rings were separated with a razor blade under a microscope, and the wood samples were ground. Each powdered wood sample was converted to holocellulose using standard procedures at the Isotope Climatology and Environmental Research Centre (ICER) in Debrecen, Hungary [Molnar *et al.*, 2013].

### 2.2 Radiocarbon measurements

Cellulose samples were combusted to CO<sub>2</sub> and converted to graphite and <sup>14</sup>C/<sup>12</sup>C isotopic ratio measurements on both tree ring sequences (1031-1080 CE for the Arctic pine and 1038-1068 CE for the sequoia) were measured at the CIRCE [Terrasi *et al.*, 2008] and the ICER [Molnar *et al.*, 2013] AMS facilities. The  $\alpha$ -cellulose samples from the pine were independently graphitized at CIRCE and ICER, using the respective protocols at the two laboratories [Marzaioli *et al.*, 2008; Molnar *et al.*, 2013] and separately measured at both facilities. The same procedure was adopted for the late wood (LW) samples from the sequoia, while the early wood (EW) samples were graphitized and measured only at ICER.

The CIRCE isotopic ratio measurements were performed at 2.55 MV terminal voltage at the tandem accelerator pelletron 9SDH-2 in Caserta. IAEA C3 standard samples were used for normalization, and OXII and C2 for consistency checks. F<sup>14</sup>C values were obtained after background correction, using processed Aesar graphite, and fractionation correction, using on-line d<sup>13</sup>C measurements; finally D<sup>14</sup>C values (in permil) were extracted using the known ring ages:

$$D^{14}C = [F^{14}C \cdot \exp(y/8267) - 1] \cdot 1000$$

with  $y$  equal to the calibrated age of the sample in years BP. Typical uncertainty was  $\sim 3\%$ .

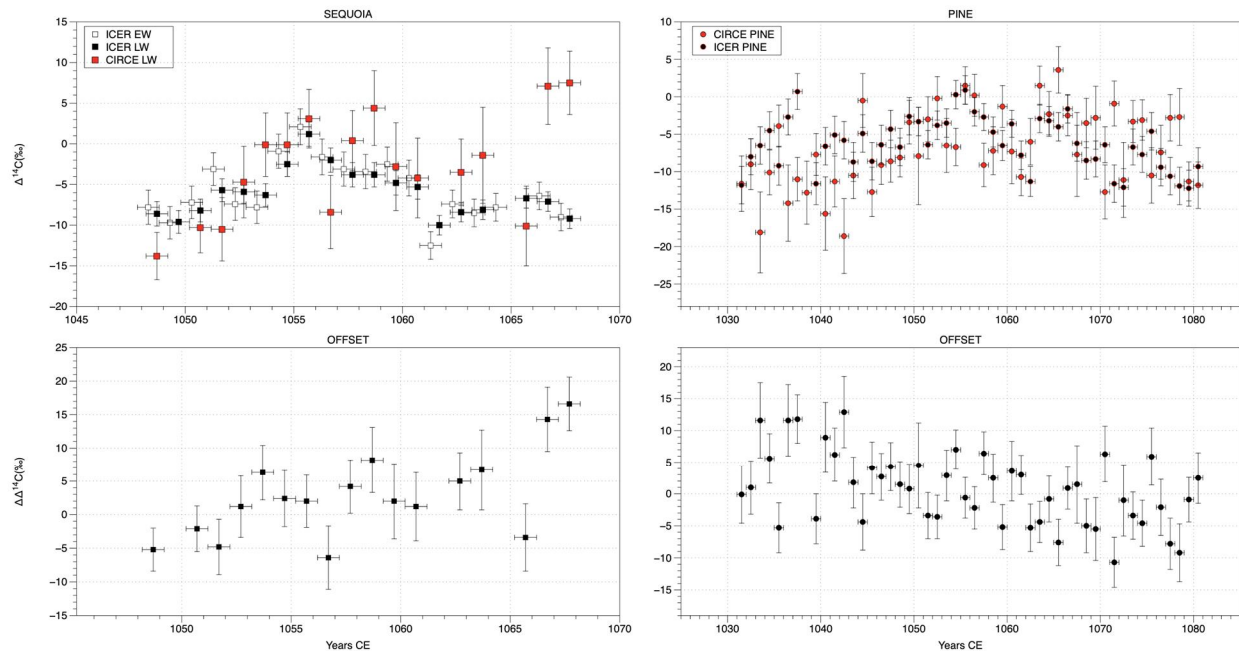
Radiocarbon dating was performed at ICER using the 200 kV MICADAS at the Institute of Nuclear Research in Debrecen, Hungary [Molnar *et al.*, 2013]. The measurements were compared to NIST oxalic-II standards (SRM-4990C) and IAEA C-1 for a process blank, and on-line  $d^{13}C$  measurements for correction of isotopic fractionation.  $^{14}C$  calculations and data reduction were done using standard BATS software [Wacker *et al.*, 2010].

### 2.3 Wavelet analysis

In order to verify if our observations can be explained by assuming a contribution to  $^{14}C$  production arising from the radiation flux originated from SN1054 we undertook a detailed analysis of the various frequency components. We first performed a Discrete Fourier Transform (DFT) analysis on both the pine and the sequoia data sets. The frequency spectra did not show any significant peak: this was attributed to the limited investigated range. Then, we applied the Matlab code for a continuous wavelet transform (CWT) analysis using the analytic wavelet Morlet (Gabor), for which the analyzed signals were the values of  $1 + D^{14}C/1000$  vs ring growth year of the three trees. The corresponding uncertainties were taken into account simulating 5000 random series with expected values and standard deviations corresponding to data series, and then computing 5000 wavelet spectra from them, which were averaged to get the average power matrix as a function of the sampling year and period scale. Then, setting a window on a scale range one can extract the corresponding contribution to the time series and subtract it from the experimental data.

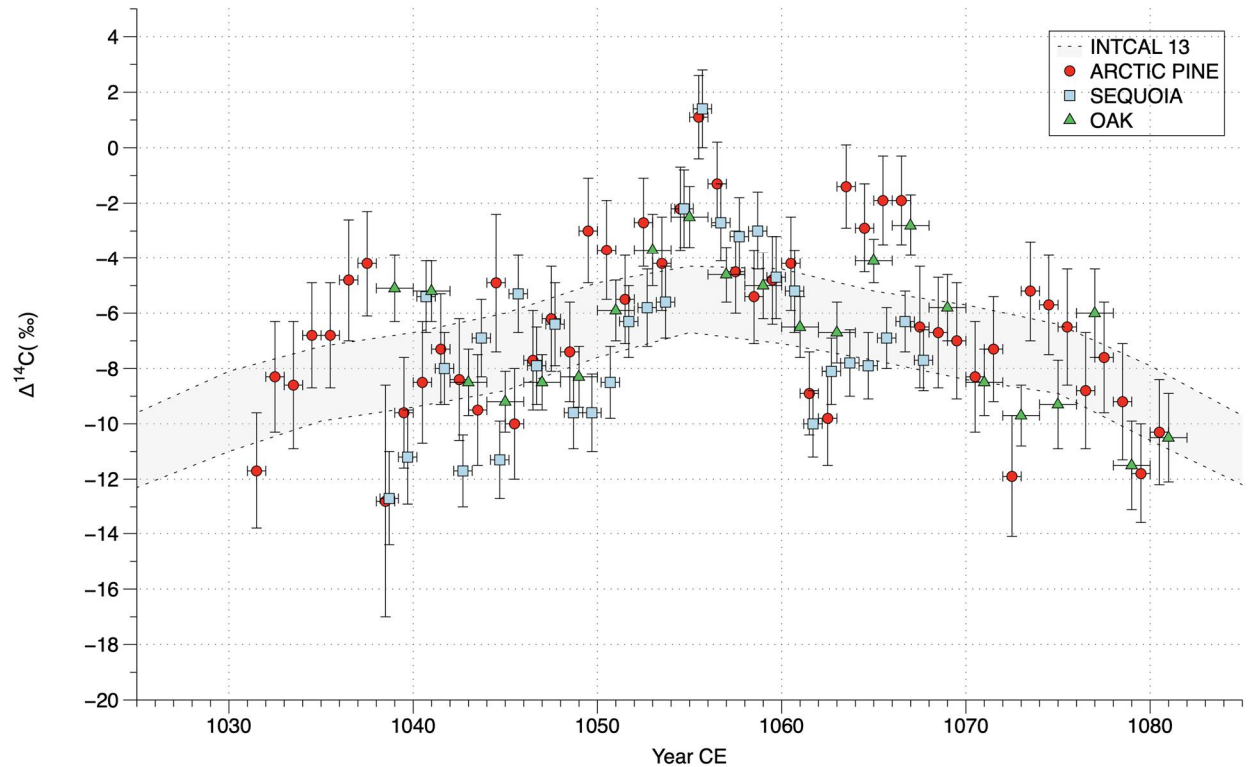
## 3 Results

The results obtained at the two laboratories are shown in fig. 1 a) and c) and in fig 1 b) and d) for the sequoia and the pine data, respectively. The plot in panel a) includes the EW data, which were measured only in Debrecen. In order to take into account the different growing seasons of the (portion of) rings, we adopted the following convention for the horizontal axis: the EW data were referred to by the integer year plus 0.3; for the LW we added 0.7 years and 0.5 years for the bulk. In all cases the horizontal error bars denote a one year span; the vertical bars are  $1\sigma$  uncertainties. In panels c) and d) the relative offsets between the two data sets are displayed with the propagated uncertainty (see support data for further details). For both trees the agreement between the two data sets is very good, with the exception of the two low-precision points at 1066 and 1067 CE in the CIRCE measurements of the sequoia sequence, which exhibit a disagreement at the  $3\sigma$  level of. The distributions of the  $t$ -values (offsets normalized to the propagated error) have average values of  $0.1\%$  and  $0.6\%$  and standard deviations of  $1.1\%$  and  $1.5\%$ , for the pine and the sequoia, respectively ( $0.2 \pm 0.1\%$  for the sequoia excluding the two outliers). Then, for each ring growth year and for each tree we considered the weighted averages between the two data sets. Due to the large uncertainty of the two outliers, their inclusion does not affect the final result significantly.



**Figure 1.** Interlaboratory comparison of the  $\Delta^{14}\text{C}$  values measured for the earlywood and latewood sequoia (upper left) and for the Arctic pine (upper right) at CIRCE and ICER. Lower panels show the differences between the two series with the propagated errors. The time scale of early/late wood measurements is adjusted to the total ring width measurements of other series.

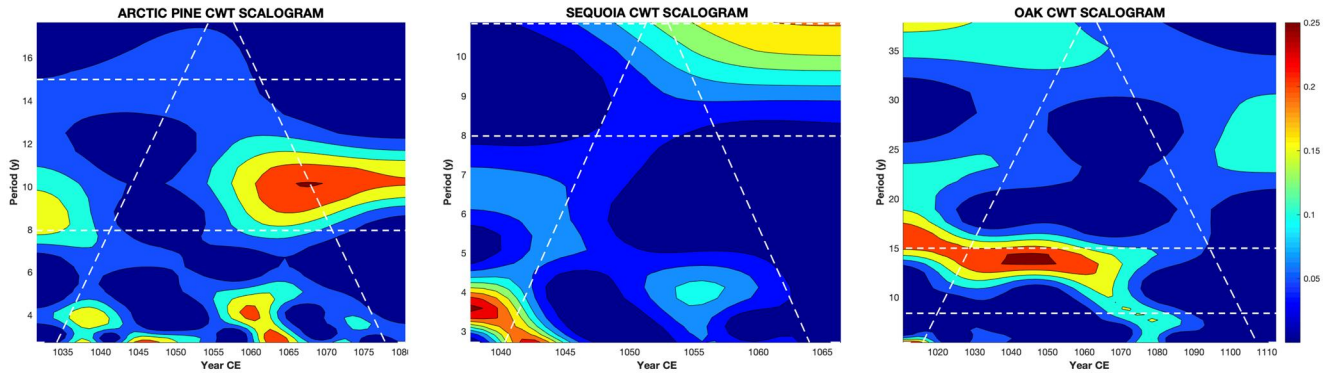
The resulting  $\Delta^{14}\text{C}$  data are presented in fig. 2 for the pine and the sequoia. For comparison the IntCal13 calibration curve [Reimer *et al.*, 2013] is also shown: taking into account that in the investigated range all IntCal13 raw data are decadal averages (except 1 point at 1047 CE) it can be observed that the average behaviour is well reproduced by our data. This indicates that we do not observe the 25-40 years offset reported previously in [Güttler *et al.*, 2015]. The annual resolution allows us to highlight a  $\Delta^{14}\text{C}$  increase around 1055 CE with similar shapes at both latitudes: if we exclude the data points between 1054.5 and 1056.5 the average value in the interval 1050-1060 is  $-5\text{‰}$  (on both sides of the peak) to be compared with the maximum of  $+1\text{‰}$ , indicating a increment at  $\sim 3\text{s}$  level, to be compared with a value of  $\sim 12\text{‰}$  observed for the Miyake events. The rise time is quite sharp (with a possible first increase in 1054 CE), while, in contrast to the Miyake events in 774 and 993, the decay time is only slightly larger than the rise time. Moreover, a second stepwise increase of comparable intensity and a slower decline is observed 8 years after the first one (in 1063) only for the Arctic pine, with an increment of  $\sim 7\text{‰}$ , while no statistically significant (larger than 1s) increase is observed for the sequoia. We have plotted in fig. 2 also the data from [Güttler *et al.*, 2013].), which correspond to an intermediate latitude (note the biannual resolution of the latter series, denoted by the horizontal error bars). In order to take into account the offset reported by the authors, we have added to their data a bias of 4‰. It can be seen that these data show for the increment in 1054 a behavior similar to the pine and sequoia data, if the effect of the biannual averaging is taken into account; data points in the 1063 – 1067 AD range, lie between the pine and sequoia series. Actually, all oak data points (with the exception the latter) differ by less than 1s from the averages of pine and sequoia data corresponding to the two years covered.



**Figure 2.** Weighted averages of the two single-year measurement series for the sequoia tree rings (blue squares) and the Arctic pine (red dots). Biannual  $^{14}\text{C}$  data from Guttler et al. derived from German oak tree rings are shown with green triangles. The Guttler et al series is adjusted with a 4 ‰ offset reported by the authors.

## 4 Discussion and conclusions

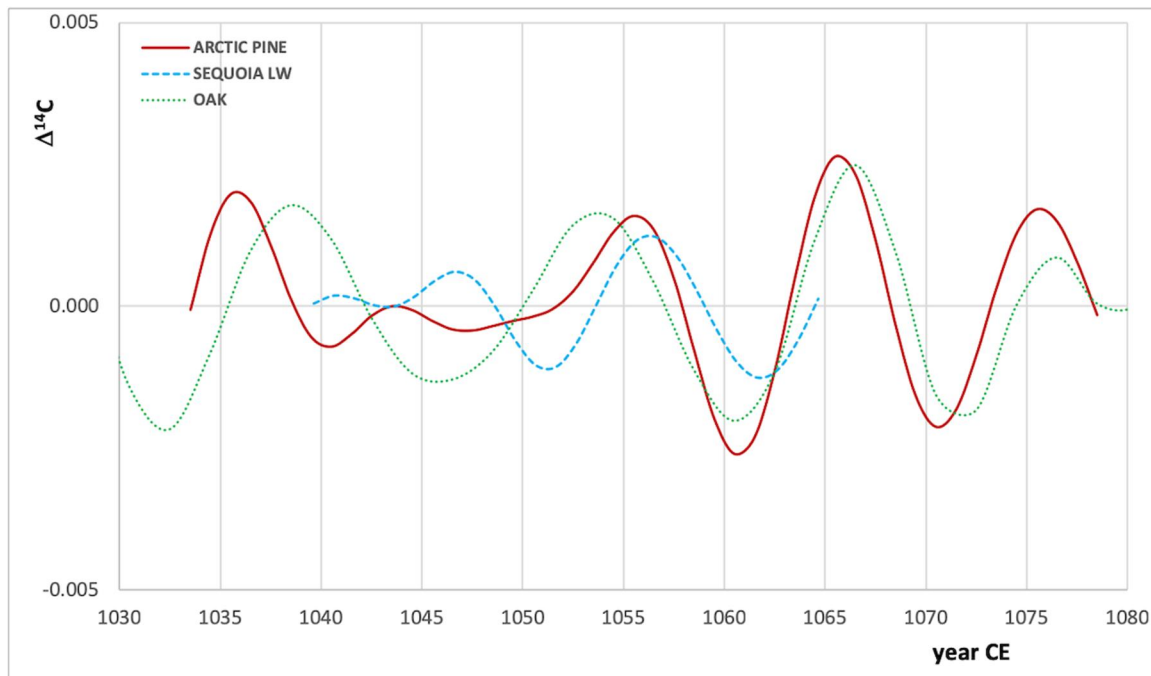
The data immediately preceding or following the quoted increments show an oscillatory behavior with a pseudo period of about 10 years, superimposed to an overall trend resembling the positive lobe of a slower trend, with a half-period of about 100 years. Both oscillations suggest the influence of solar cycle components, which could eventually modify the shape of a possible signal associated to SN1054. Application of the CWT analysis to each of the three data sets produced the scalograms shown in fig. 3, allowing the detection of the most representative scales (or frequencies) of the signals. The cones of influence drawn indicate the regions in the growth year – period plane where edge effects of the CWT become significant. The wavelet results show similar frequencies of the  $^{14}\text{C}$  variance for both time series. Both the well pronounced variability with the period of about 8-14 years (Schwabe cycle, cut for the sequoia because of the limited time range), and the moderately high signal in the period range between 4 and 6 years, caused by the peak in 1055 CE, can be observed. In the pine data set, this peak with the 4-6 year period is followed by a second peak about 7 years later. The latter peak is less visible in the sequoia data, maybe also because it is closer to the limit of the influence cone. These frequency features are also seen in the oak data, though not so strongly as in the sequoia and pine series.



**Figure 3.** Scalograms of the three discussed time series. The iso-contour levels on the right represent the normalized percentage of energy for each period in the studied time series, i.e. the contribution of the oscillation with a given frequency to the data of a single year. The cone of influence (dashed white line) separates the regions that may be affected by edge effects from the significant CWT areas. Note the different scales on both axes in the panels.

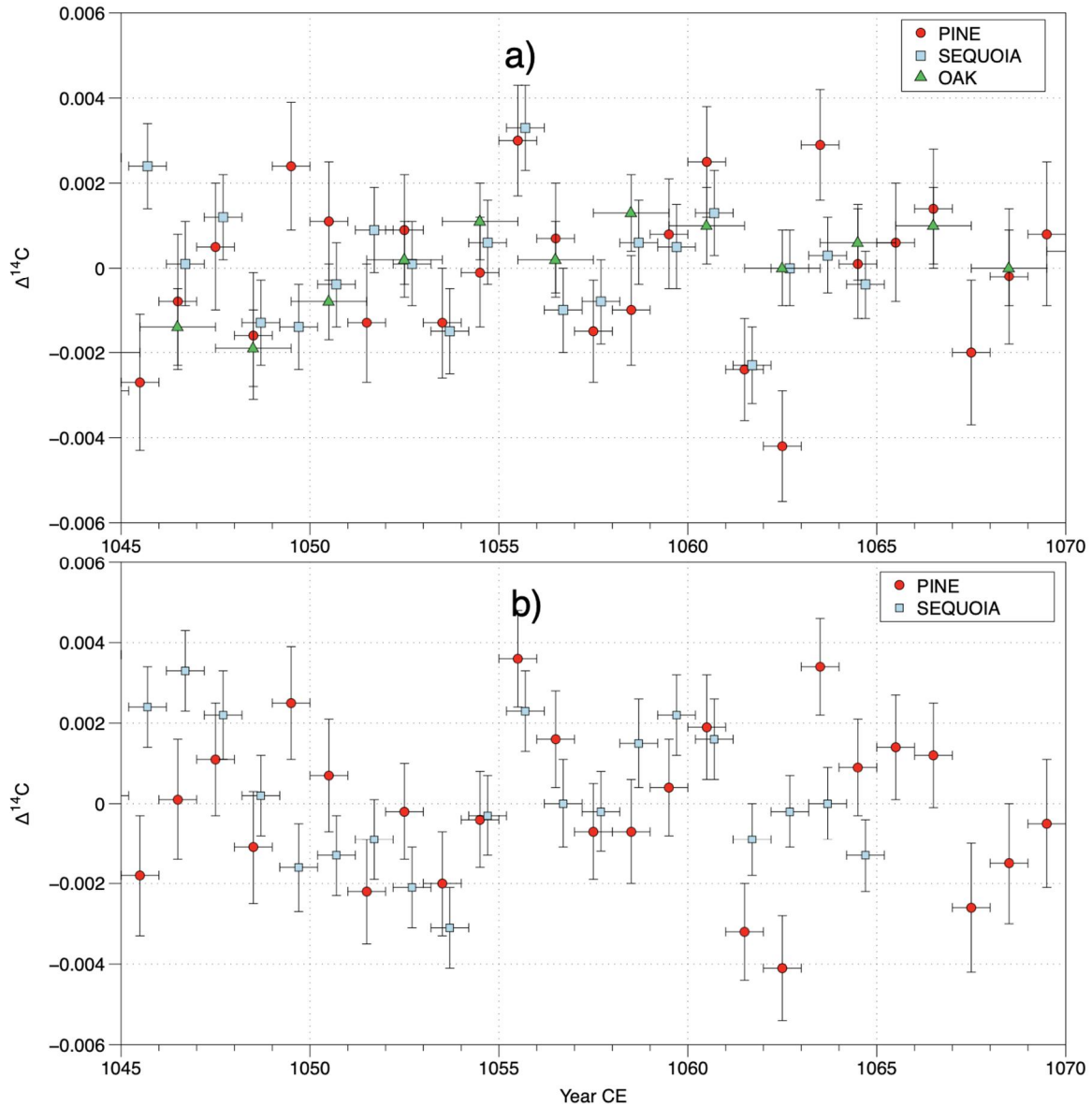
In conclusion, we found clear oscillations with period around 11 years during 1050-1063 CE. The 1055 CE peaks match each other in the sequoia and pine data and occur after the 1054 CE impact year. If we assume that the observed 11-yr oscillation is due to the solar activity, then the 1055 CE peak appears during the solar minimum. Furthermore, we estimated the net signal isolated after subtraction of the underlying solar cycle contribution in two ways: firstly, for each data set, the baseline contribution was evaluated subtracting from the respective experimental data all the wavelet frequency components and smoothing the result by a running five-years average; then, the 11-year cycle contribution was modeled by scaling with the the 8–15 year window (8-10.8 for the sequoia series): this window is indicated in fig. 3 and the corresponding reconstructed signals are shown in fig. 4. Finally, the net signals were deduced by subtraction of the estimated background from the experimental data: the result is shown in fig. 5a. One can see that the signal is localized to a single-year increase in  $\Delta^{14}\text{C}$  at the level of 3-4 ‰, which may have different possible origins, such as the SN, unusually weak solar cycle minimum, or even a SEP event, but the latter is unlikely considering the minimum phase of the event. A local anomaly of the carbon cycle is unlikely either because of the synchronization of the signals in very different locations. Secondly, we estimated the net signal of the pine and sequoia data with more robust (longer time interval) oak background: the shortness of the sequoia time series may have distorted the estimated solar cycle contribution. Within the experimental uncertainties, the net signal remains unchanged (fig. 5b) and shows an excursion in 1055 CE of the order of 4‰ in the  $1+\text{D}^{14}\text{C}/1000$  behaviour. This excursion, even if the corresponding step has only a  $\sim 2\text{s}$  height, is observed at the same location and intensity in all three data sets, but is attenuated by the biannual sampling in the oak data, indicating the global nature of this possible event.





**Figure 4.** Wavelet reconstruction of the signal representing the 11-year cycle contribution to the  $^{14}\text{C}$  intensity obtained through conditioning the scalogram scale values over the 8-15 year band.

The shape of this peak is quite different from previously determined abrupt  $^{14}\text{C}$  excursions (e.g. 774 CE, 994 CE and 660 BCE) and suggests a different origin. The absence of a plateau following the initial offset is hardly reconcilable with the atmospheric mixing: whether this is a real effect or it is caused by an incorrect deconvolution of the underlying background requires a detailed modeling which is beyond the aim of the present work and will be addressed in a forthcoming paper. This possibility is suggested by the fact that the  $F^{14}\text{C}$  values in the range 1058 – 1067 CE are slightly larger than the average background, even if with a low statistical significance. A multi-proxy data analysis, including annually resolved records of  $^{10}\text{Be}$  in polar ice, is required to provide more insight into the origin of this event.



**Figure 5.** Net signal after subtraction of the solar cycle contribution (fig. 4) from the experimental data (fig. 2). (a) subtraction result from each time series as estimated by the wavelet analysis of relevant tree-ring series. (b) subtraction result from each time series as estimated by the more robust wavelet analysis of the long oak data set.

## Acknowledgements

The work of S. Helama, M. Oinonen, P. Nöjd and J. Uusitalo was supported by the Academy of Finland (grants 288083 and 288267). Work by G. Kovaltsov, S. Poluianov and I. Usoskin was partially supported by the ReSoLVE Centre of Excellence (Academy of Finland, project no. 307411). A.J.T. Jull acknowledges partial support by the European Union and the State of Hungary, co-financed by the European Regional Development Fund in the project GINOP-2.3.2.-15-2016-00009 'ICER'. All data are available in the Supporting Information section.

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