

# 1 **Asthma-COPD Overlap Syndrome (ACOS) among Subjects with Newly Diagnosed Adult-Onset**

## 2 **Asthma**

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4 To the Editor:

5 An emerging topic in clinical research of obstructive lung diseases has been the rather recent  
6 recognition of the asthma COPD overlap syndrome, shortened as ACOS (1). There are several  
7 questions still unanswered concerning this newly recognized diagnostic entity. The clinical and  
8 functional data of ACOS patients do not seem to correspond well with the similar features in  
9 patients with asthma or COPD alone (1). In addition, the occurrence of ACOS in many parts of the  
10 world is still unknown. Furthermore, ACOS patients seem to have a different clinical evolution  
11 compared to the patients with either asthma or COPD alone (2). Thus, further research is needed  
12 to identify factors that determine the clinical characteristics as well as prognosis of ACOS.

13 We estimated the prevalence of ACOS among newly-diagnosed adult-onset asthma patients  
14 in Southern Finland, and assessed personal, behavioral and hereditary determinants of ACOS  
15 among asthma patients. The study population constituted 521 newly-diagnosed asthma patients  
16 from The Finnish Environmental and Asthma Study (FEAS), a population-based incident case–  
17 control study of adult-onset asthma among working-aged population (3). Information was based  
18 on an extensive questionnaire, standardized clinical examination, and spirometry. The asthma  
19 patient was defined to have ACOS if his/her spirometry showed persistent airflow obstruction with  
20 a post-bronchodilator FEV<sub>1</sub>/FVC less than 70% of predicted (see Online Supporting Information:  
21 Methods).

22 The prevalence of ACOS among adults with newly diagnosed asthma was 6.6% (95%  
23 confidence interval, CI 4.2-9.1%). Following the case definition, the ACOS cases had considerably  
24 lower pre- and post-bronchodilator FEV<sub>1</sub> and FEV<sub>1</sub>/FVC levels than the asthma-only cases (Table 1).

25 In Poisson regression, adjusting for potential confounding, the ACOS cases were older  
26 (adjusted Prevalence Ratio [PR]: 3.22; 95% CI 1.39-7.47), more often men (2.63; 1.14-6.07), had  
27 lower level of education (1.36; 0.56-3.30), and were more often current (7.91; 2.25-27.81) or  
28 former smokers (3.23; 0.81-12.87) than the asthma-only cases (Table 2). Allergic diseases,  
29 especially allergic rhinitis, were less common among the ACOS cases than among the asthma-only  
30 cases (Table 2). Correspondingly, allergic diseases showed a protective effect for ACOS in the  
31 Poisson regression model. Allergic symptoms were consistently less common among the ACOS  
32 cases than among the asthma-only cases (Table 2). Having additionally other respiratory diseases  
33 was more common among the ACOS cases than among the asthma-only cases (Table 2). In  
34 unadjusted models, having these other diseases strongly increased the risk of ACOS, but in the  
35 models adjusting for age, gender, education, and smoking status, these effects were statistically  
36 non-significant. Chronic respiratory symptoms, including breathlessness, wheezing, mucus  
37 production, and cough, were slightly less common among the ACOS cases compared to the  
38 asthma-only cases, with the exception of mucus production (Table 2). Of respiratory symptoms,  
39 breathlessness, wheezing and cough associated with a lower, but statistically non-significant, risk  
40 for ACOS compared to the risk for asthma-only cases. The ACOS cases showed or reported less  
41 often any positive allergy findings in skin prick tests or in Phadiatop analysis. In contrast, we found  
42 no difference in total IgE levels between the two groups. Similarly, the allergic symptoms, positive  
43 skin prick test results or positive Phadiatop test results associated with a lower, statistically non-  
44 significant risk of ACOS compared to the asthma-only cases. Parental asthma and especially  
45 siblings having asthma, was more common among the ACOS cases than among the asthma-only  
46 cases, while parental allergy and siblings having allergy-only (i.e. they did not have asthma) were  
47 less common among the ACOS cases (Table 2). In the Poisson regression models, familial asthma  
48 was related to an increased risk of ACOS, while the tendency for a protective effect related to a  
49 relative's allergy was limited to parental allergy.

50 We defined ACOS cases from the asthma cases based on spirometry findings rather than self-  
51 reported history. Besides, we did not define smoking history as an inclusion criterion, nor did we  
52 exclude patients who had comorbidities. There are clearly fewer studies focusing on asthma  
53 patients having ACOS features compared to studies on COPD patients who have ACOS  
54 components (4). Another Finnish study reported the prevalence of ACOS among subjects with  
55 asthma in primary care and elaborated smoking history as a determinant of ACOS. Age of the  
56 individual and the cumulative amount of cigarette smoking were reported as determinants of  
57 ACOS (5). A cross-sectional population-based study in the US provided evidence that among  
58 adults with a history of asthma, active manifestations of asthma may play an important role in the  
59 development of COPD (6). By quitting smoking and obtaining good asthma control, asthma  
60 patients may have a lower risk of developing COPD. There are also studies suggesting that patients  
61 with ACOS generate nearly double the health care costs compared to patients with asthma  
62 without COPD, and the coexistence of features of COPD in elderly asthmatics worsen asthma  
63 control (7). COPD and asthma are heterogeneous diseases and patients may be atopic and  
64 hyperresponsive in both diseases (8). Previous studies have shown that ACOS patients defined by a  
65 self-report of asthma and COPD were two times more likely to report allergic rhinitis compared  
66 with individuals who had COPD only (9). However, there is scarce literature investigating the effect  
67 of family history of asthma and allergic diseases on ACOS among asthmatic patients. To the best of  
68 our knowledge, our research is the first population-based study, which elaborates the role of  
69 heredity of asthma and allergies for ACOS among asthmatics. Moreover, we present the role of  
70 allergic symptoms and markers of allergy for ACOS among subjects with adult-onset asthma.

71 There is still no generally agreed definition for ACOS. Because of this, the prevalence of ACOS  
72 is very variable in studies applying different criteria and investigating different populations. Thus,  
73 there is a need to conduct further studies to identify clinically important characteristics of the  
74 ACOS patients. Such information will help us to make progress in preventing and treating ACOS.

75 Furthermore, this information may be valuable when aiming at cutting the medical expenses  
76 related to asthma and ACOS. Prevention of smoking and facilitating stopping smoking protects  
77 asthmatic subjects from developing ACOS.

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121 **Author contributions:** Study design and data acquisition were done by MSJ and JJKJ. TKL and YCW  
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133 **Key words:**

134 allergy; asthma; asthma-COPD overlap syndrome (ACOS); COPD; smoking

135 **Abbreviations:**

136 ACOS, asthma-COPD overlap syndrome; CI, confidence interval; COPD, chronic obstructive  
137 pulmonary disease; FEAS, Finnish Environment and Asthma Study; FEV1, forced expiratory volume  
138 in 1 second; FVC, forced vital capacity; IgE, immunoglobulin E; PR, prevalence ratio; Q1, first  
139 quartile; Q2, second quartile; Q3, third quartile; Q4, fourth quartile



141 **Table 1** Distribution of lung function parameters among patients with asthma only and ACOS.

<b>Patient Characteristics</b>	<b>Asthma-only Median (Q1-Q3)</b>	<b>ACOS Median (Q1-Q3)</b>	<b>P value <sup>a</sup></b>
<b>Subjects N</b>	365	26	NA
<b>Pre-bronchodilator</b>			
FEV <sub>1</sub> L	3.01 (2.48-3.65)	1.56 (1.25-2.11)	<0.0001
FVC L	3.99 (3.37-4.79)	3.56 (3.00-3.86)	0.005
FEV <sub>1</sub> /FVC	0.76 (0.70-0.82)	0.50 (0.42-0.55)	<0.0001
<b>Post-bronchodilator</b>			
FEV <sub>1</sub> L	3.24 (2.74-3.84)	1.87 (1.55-2.37)	<0.0001
FVC L	4.10 (3.47-4.89)	3.74 (3.20-4.49)	0.205
FEV <sub>1</sub> /FVC	0.80 (0.74-0.85)	0.54 (0.45-0.57)	<0.0001
<b>Reversibility <sup>b</sup></b>			
FEV <sub>1</sub> mL	190.0 (100.0-360.0)	250.0 (180.0-380.0)	0.153
FVC mL	70.0 (-30.0 to 210.0)	380.0 (140.0-620.0)	<0.0001

142 Definition of abbreviations: ACOS, Asthma-COPD overlap syndrome; FEV<sub>1</sub>, Forced expiratory  
 143 volume in 1 s; FVC, Forced vital capacity, Q1, 1<sup>st</sup> quartile; Q3, 3<sup>rd</sup> quartile

144 <sup>a</sup> Wilcoxon-Mann-Whitney test

145 <sup>b</sup> Difference between post-bronchodilator value and pre-bronchodilator value

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**Table 2.** Determinants of ACOS among subjects with adult-onset asthma.

<b>Determinant</b>	<b>Asthma-only n (%)</b>	<b>ACOS n (%)</b>	<b>Unadjusted model PR (95% CI)</b>	<b>Adjusted model <sup>a</sup> PR (95% CI)</b>
<b>Subjects N</b>	365	26	NA	NA
<b>Age, years</b>				
Median (Q1-Q3)	42.0 (30.0-51.0)	53.0 (48.0-60.0)	NA	NA
20-29 years	87 (23.8%)	0 (0.0%)	ref	ref
30-39 years	80 (21.9%)	2 (7.7%)		
40-49 years	85 (23.3%)	7 (26.9%)		
≥50 years	113 (31.0%)	17 (65.4%)	3.79 (1.69-8.51)	3.22 (1.39-7.47)
<b>Male sex</b>	121 (33.2%)	17 (65.4%)	3.46 (1.54-7.77)	2.63 (1.14-6.07)
<b>Education</b>				
University or college	127 (34.8%)	7 (26.9%)	ref	ref
Vocational institution	109 (29.9%)	1 (3.9%)	0.17 (0.02-1.41)	0.12 (0.01-0.98)
Other	129 (35.3%)	18 (69.2%)	2.34 (0.98-5.61)	1.36 (0.56-3.30)
<b>Smoking <sup>b</sup></b>				
Never	180 (49.6%)	3 (11.5%)	ref	ref
Former	83 (22.9%)	7 (26.9%)	4.74 (1.23-18.35)	3.23 (0.81-12.87)
Current	100 (27.6%)	16 (61.5%)	8.41 (2.45-28.88)	7.91 (2.25-27.81)
<b>Allergic diseases</b>				
Allergic rhinitis including Hay fever <sup>c</sup>	148 (40.6%)	5 (19.2%)	0.37 (0.14-0.98)	0.70 (0.25-1.96)
Allergic conjunctivitis <sup>c</sup>	90 (24.7%)	4 (15.4%)	0.57 (0.20-1.67)	0.75 (0.26-2.23)
Allergic dermatitis <sup>c</sup>	151 (41.4%)	7 (26.9%)	0.54 (0.23-1.29)	0.71 (0.29-1.72)
Any of the previous three allergic diseases <sup>c</sup>	225 (61.6%)	9 (34.6%)	0.36 (0.16-0.80)	0.53 (0.23-1.21)
Diagnosed hypersensitivity to painkillers	39 (10.7%)	1 (3.9%)	0.35 (0.05-2.59)	0.50 (0.06-3.83)
<b>Other respiratory diseases</b>				
Chronic bronchitis ever	103 (28.2%)	15 (57.7%)	3.15 (1.45-6.87)	1.71 (0.73-4.02)
Pulmonary emphysema	7 (1.9%)	5 (19.2%)	7.52 (2.84-19.94)	2.50 (0.89-7.05)
Bronchiectasis	5 (1.4%)	4 (15.4%)	7.72 (2.66-22.39)	2.70 (0.85-8.54)



<b>Chronic respiratory symptoms<sup>d</sup></b>				
Breathlessness	328 (89.9%)	21 (80.8%)	0.51 (0.19-1.34)	0.48 (0.17-1.31)
Wheezing	310 (84.9%)	20 (76.9%)	0.62 (0.25-1.53)	0.71 (0.28-1.79)
Mucus production <sup>e</sup>	267 (73.2%)	19 (73.1%)	1.00 (0.42-2.37)	0.96 (0.40-2.30)
Cough <sup>e</sup>	252 (69.0%)	15 (57.7%)	0.63 (0.29-1.38)	0.58 (0.26-1.30)
<b>Skin symptoms<sup>e</sup></b>	176 (48.2%)	10 (38.5%)	0.69 (0.31-1.52)	0.68 (0.29-1.51)
<b>Nasal symptoms<sup>e</sup></b>	285 (78.1%)	17 (65.4%)	0.56 (0.25-1.25)	0.83 (0.35-1.97)
<b>Eye symptoms<sup>e</sup></b>	178 (48.8%)	9 (34.6%)	0.58 (0.26-1.30)	0.68 (0.29-1.55)
<b>Throat symptoms<sup>e</sup></b>	242 (66.3%)	15 (57.7%)	0.71 (0.33-1.55)	0.96 (0.42-2.20)
<b>Self-reported allergy test results</b>				
All negative	182 (49.9%)	17 (65.4%)	ref	ref
1-2 positive	88 (24.1%)	5 (19.2%)	0.63 (0.23-1.71)	0.88 (0.32-2.41)
≥ 3 positive	95 (26.0%)	4 (15.4%)	0.47 (0.16 to 1.41)	0.84 (0.26-2.66)
any positive	183 (50.1%)	9 (34.6%)	0.55 (0.24-1.23)	0.86 (0.37-1.99)
<b>Skin prick tests<sup>f</sup></b>				
All negative	126 (46.0%)	9 (69.2%)	ref	ref
1-2 positive	58 (21.2%)	3 (23.1%)	0.74 (0.20-2.72)	1.16 (0.31-4.39)
≥ 3 positive	90 (32.9%)	1 (7.7%)	0.16 (0.02-1.30)	0.30 (0.04-2.50)
any positive	148 (54.0%)	4 (30.8%)	0.39 (0.12-1.28)	0.70 (0.21-2.33)
<b>Phadiatop<sup>g</sup></b>				
Negative	187 (54.5%)	16 (72.7%)	ref	ref
1-2 positive	54 (15.7%)	4 (18.2%)	0.88 (0.29-2.62)	0.98 (0.32-2.98)
≥ 3 positive	102 (29.7%)	2 (9.1%)	0.24 (0.06-1.06)	0.45 (0.10-2.06)
any positive	156 (45.5%)	6 (27.3%)	0.47 (0.18-1.20)	0.71 (0.27-1.87)
<b>Total IgE<sup>h,i</sup></b>				
Median (Q1-Q3), kU/L	77.1 (29.3-213.0)	70.9 (22.5-207.0)	NA	NA
Q1 (≤16 kU/L)	43 (12.5%)	3 (13.6%)	ref	ref
Q2 (16-39.1 kU/L)	62 (18.1%)	6 (27.3%)	1.35 (0.33-5.41)	2.07 (0.51-8.39)
Q3 (>39.1 to <107 kU/L)	100 (29.2%)	4 (18.2%)	0.59 (0.13-2.64)	0.81 (0.18-3.65)
Q4 (≥ 107 kU/L)	138 (40.2%)	9 (40.9%)	0.94 (0.25-3.47)	1.25 (0.33-4.67)
<b>Parental asthma<sup>j</sup></b>	81 (24.4%)	8 (34.8%)	1.59 (0.68-3.76)	2.12 (0.86-5.22)
<b>Parental allergy<sup>j</sup></b>	85 (25.6%)	3 (13.0%)	0.46 (0.14-1.53)	0.77 (0.22-2.69)

<b>Parental asthma or allergy<sup>j</sup></b>				
no	204 (61.5%)	14 (60.9%)	ref	ref
asthma only	43 (13.0%)	6 (26.1%)	1.91 (0.73-4.96)	2.72 (0.95-7.74)
allergy only	47 (14.2%)	1 (4.4%)	0.32 (0.04-2.47)	0.74 (0.09-5.91)
both	38 (11.5%)	2 (8.7%)	0.77 (0.18-3.43)	1.21 (0.26-5.56)
<b>Sibling history of asthma<sup>k</sup></b>	47 (19.3%)	9 (60.0%)	5.44 (1.94-15.28)	2.81 (0.94-8.39)
<b>Sibling allergy<sup>k</sup></b>	103 (42.2%)	8 (53.3%)	1.52 (0.55-4.20)	1.55 (0.56-4.34)
<b>Sibling asthma or allergy<sup>k</sup></b>				
no	127 (52.1%)	4 (26.7%)	ref	ref
asthma only	14 (5.7%)	3 (20.0%)	5.78 (1.29-25.82)	2.67 (0.56-12.79)
allergy only	70 (28.7%)	2 (13.3%)	0.91 (0.17-4.97)	1.13 (0.20-6.31)
both	33 (13.5%)	6 (40.0%)	5.04 (1.42-17.85)	3.04 (0.82-11.23)

Definition of abbreviations: ACOS, Asthma-COPD overlap syndrome; CI, Confidence interval; kU, kilo Units; PR, prevalence ratio; Q1, 1<sup>st</sup> quartile; Q2, 2<sup>nd</sup> quartile; Q3, 3<sup>rd</sup> quartile; Q4, 4<sup>th</sup> quartile

<sup>a</sup> Adjusted for age, sex, education and smoking

<sup>b</sup> Smoking missing for 2 cases with asthma-only

<sup>c</sup> Either during the past 12 months or over 12 months ago

<sup>d</sup> During past 12 months

<sup>e</sup> Prolonged or recurrent

<sup>f</sup> Skin prick tests missing for 91 cases with asthma-only and for 13 cases with ACOS. Those with test missing were included in the Poisson regression as their own category

<sup>g</sup> Phadiatop missing for 22 cases with asthma-only and for 4 cases with ACOS. Those with test missing were not included in the X<sup>2</sup>-test of distribution but were included in the Poisson regression as their own category

<sup>h</sup> Quartiles are based on Jaakkola et al. 2006<sup>15</sup>

<sup>i</sup> Total IgE missing for 22 cases with asthma only and for 4 cases with ACOS. Those with test missing were not included in the X<sup>2</sup>-test of distribution but were included in the Poisson regression as their own category

<sup>j</sup> Parental asthma and allergy missing for 33 cases with asthma only and for 3 cases with ACOS

<sup>k</sup> Includes 244 cases with-asthma only and 15 cases with ACOS, that have reported having siblings

## **Online Supporting Information: Asthma-COPD Overlap Syndrome (ACOS) among Subjects with Newly Diagnosed Adult-Onset Asthma**

### **Online methods**

#### ***Study design***

The design of the original study was a population-based incident case–control study of adult-onset asthma (1). We recruited all the new cases of asthma and population-based controls aged 21–63 years living in a geographically defined area, the Pirkanmaa Hospital District in Southwest Finland (2-11). The study was approved by the ethics committees of the Finnish Institute of Occupational Health and the Tampere University Hospital. All participants gave an informed consent for the study.

#### ***Study population***

From September 1997 to March 2000, a total of 521 new cases (response rate 86%) of adult-onset asthma were recruited. Their diagnosis was based on extensive lung function measurements in combination with reporting of asthma symptoms. We checked through the medical records of the Pirkanmaa Hospital District to verify that these subjects had not had any previous diagnosis of asthma. We also checked with the help of their Social Insurance card (i.e. the KELA card) that they had not previously received the reimbursement right for asthma medication. These new cases of asthma were recruited through all health care facilities diagnosing asthma in the study area. In addition, The Social Insurance Institute of Finland (KELA) invited to our study those new asthmatics who had not yet been identified by our above-mentioned extensive recruitment system, but who were identified in a computer search of the KELA files listing new reimbursement rights for asthma medications in the study area. Only those with no previously diagnosed asthma or long-term use of any asthma medication were included in the study. In the current phase of this study, we defined the ACOS utilizing original spirometry results of these newly diagnosed asthma patients.

#### ***Questionnaire***

Symptoms were inquired applying the following questions: “Have you had recurrent or prolonged (for over a month) cough during the past year (12 months)?”, “Have you had prolonged or recurrent mucus production from the lungs during the past year (12 months)? (Mucus from the nose is not included here.)”, “Has your breathing wheezed during the past year (12 months)? (We mean wheezing that comes from the bronchi, not the nose.)”, “Have you had breathlessness during the past year (12 months)?” “Have you had, during the past year (12 months), recurrent or prolonged nasal symptoms at times other than in connection with a common cold?”, “Have you had, during the past year (12 months), recurrent or prolonged eye symptoms at times other than in connection with a common cold?”, “Have you had, during the past year (12 months), recurrent or prolonged throat symptoms at times other than in connection with a common cold or tonsillitis?”, “Have you had prolonged or recurrent skin symptoms during the past year (12 months)?”, “Have you had recurrent or prolonged headache during the past year (12 months)?”, “Have you had recurrent or prolonged fatigue (nonspecific tiredness) during the past year (12 months)?”. For these questions, the participants could answer either “yes” or “no”. For inquiring allergies and doctor-diagnosed diseases, we used the following questions: “Have you ever had allergic rhinitis (for example hay fever) diagnosed by a doctor?”, “Have you ever had conjunctivitis (allergic eye inflammation) diagnosed by a doctor?”, “Have you ever had allergic dermatitis (skin problems) diagnosed by a doctor?”, “Have you ever had chronic bronchitis diagnosed by a doctor?”, “Do you have pulmonary emphysema diagnosed by a doctor?”, “Do you have

bronchiectasis diagnosed by a doctor?”, “Do you have heart disease diagnosed by a doctor?”, and “Do you have a diagnosed hypersensitivity to painkillers?”. For the questions regarding allergic rhinitis, conjunctivitis, allergic dermatitis, and chronic bronchitis, the participants were asked to choose one of the following options: “yes, during the past 12 months”, “yes, over 12 months ago only”, and “no, never”. For the questions regarding pulmonary emphysema, bronchiectasis, heart disease and hypersensitivity to painkillers, the participants could answer either “yes” or “no”.

### **Measurement methods**

At the time of recruitment, the study subjects answered a self-administered questionnaire, modified from the Helsinki Office Environment Study questionnaire (12, 13), for use in a general population (2-11). The questionnaire inquired about personal characteristics, health information (including respiratory symptoms and previous respiratory and allergic diseases), smoking status, and information on several exposures. The questions related to smoking and family history of asthma and allergies have been described elsewhere (5-7). The questions that inquired about symptoms and doctor-diagnosed diseases are presented above. Measurement of total IgE and Phadiatop (i.e. specific IgE antibodies) have been described previously (14). Phadiatop tested potential sensitivity to birch, timothy-grass, ragweed, cat, dog, horse, *Dermatophagoides pteronyssinus* and *Aspergillus fumigatus*. In addition, specific IgE antibodies were measured to test potential sensitivity to mites *Dermatophagoides pteronyssinus* and *Acarus Siro* and to molds *Aspergillus fumigatus*, *Cladosporium cladosporioides*, *Mucor racemosus*, *Penicillium notatum*, *Phoma betae* and *Strachybotrus Atra*. In addition, we used results from routine skin Prick tests to characterize sensitivity to various other allergens from food, animals, plants, yeasts, molds, mites and chemicals.

### **Lung function measurements**

The diagnostic protocol for asthma, applied to all patients with potential asthma, included (i) reporting of occurrence of at least one asthmatic symptom, and (ii) demonstration of reversibility in airways obstruction in lung function measurements. The criteria has been displayed in previous reports (2-3). The spirometry and bronchodilation test were performed with a Medicro pneumotachygraph spirometer connected to a computer (Medikro 905; Medikro, Kuopio, Finland) according to the standards of the American Thoracic Society (15). Altogether 487 cases performed spirometry, and post-bronchodilator measurements were available for 391 (80.3%) of them.

### **FEV<sub>1</sub>/FVC models and definition of ACOS**

There is still no generally agreed definition for ACOS. ACOS criteria or definition utilized varied in different studies. It is generally accepted that ACOS patients who demonstrate both an asthma component and a COPD component. In this study, the asthma patient was defined to have ACOS if his/her spirometry showed persistent airflow obstruction with a post-bronchodilator FEV<sub>1</sub>/FVC less than 70% of predicted (16, 17-19). We used our own definition of ACOS, because it was meaningful for elaborating how asthmatics subjects with and without the main feature of COPD (chronic irreversible airflow limitation) differ. The purpose was neither to challenge nor endorse the GINA/GOLD description nor to create new diagnostic criteria for ACO overlap/ACOS.

To calculate the predicted FEV<sub>1</sub>/FVC values, we fitted two separate reference models, one based on pre-bronchodilator FEV<sub>1</sub>/FVC values and the other on post-bronchodilator FEV<sub>1</sub>/FVC values. Pre-bronchodilator values have commonly used to define the presences of COPD and ACOS in studies that did not conduct bronchodilator tests and therefor did not have post-bronchodilator

values (20, 21).

Although post-bronchodilator values are more appropriate for the assessment of irreversible bronchial obstruction, we fitted both values in order to compare the results. We used never-smoking controls of the FEAS study to calculate the reference values, since they best represent the source population for the asthma-only and the ACOS cases. Separate linear regression models were fitted for men (n=49) and women (n=89). The models included age and height as covariates. Based on these reference models, we then calculated the predicted FEV<sub>1</sub>/FVC values for both the asthma-only and the ACOS cases as follows:

1. predicted FEV<sub>1</sub>/FVC based on **pre**-bronchodilator values:

a. predicted FEV<sub>1</sub>/FVC for men =  $1.34631 - 0.00003273 * \text{AGE (years)} - 0.00292 * \text{Height (cm)}$

b. predicted FEV<sub>1</sub>/FVC for women =  $1.13360 - 0.00093847 * \text{AGE (years)} - 0.00144 * \text{Height (cm)}$ .

2. predicted FEV<sub>1</sub>/FVC based on **post**-bronchodilator values:

a. predicted FEV<sub>1</sub>/FVC for men =  $1.09745 + 0.00025350 * \text{AGE (years)} - 0.00147 * \text{Height (cm)}$

b. predicted FEV<sub>1</sub>/FVC for women =  $1.10826 - 0.00117 * \text{AGE (years)} - 0.00110 * \text{Height (cm)}$

We then calculated the percent predicted FEV<sub>1</sub>/FVC for each subject as the ratio of the **measured post**-bronchodilator FEV<sub>1</sub>/FVC to the predicted (either based on pre-bronchodilator values or post-bronchodilator values) FEV<sub>1</sub>/FVC \*100.

We then defined ACOS as having the FEV<sub>1</sub>/FVC < 70% of the predicted. Using the prediction model based on the post-bronchodilator values of the never-smoking controls resulted in altogether 26 cases with ACOS and 365 cases with asthma-only. This definition was selected as the primary one since COPD is usually defined in clinical practice based on post-bronchodilator values, as pre-bronchodilator values cannot distinguish between reversible (typical for asthma) and irreversible (typical for COPD) obstruction. Using the prediction model based on pre-bronchodilator values among the never-smoking controls resulted in 21 cases with ACOS and 370 cases with asthma-only, data not shown.

### **Statistical methods**

The aims of the analyses were to estimate the occurrence of ACOS among newly diagnosed adult-onset asthma cases, to characterize and compare the ACOS and asthma only patients, and to assess the determinants of ACOS. First, we estimated the prevalence rate of ACOS and its 95% confidence interval from the binomial distribution. Second, we compared differences in the characteristics of ACOS and asthma-only patients applying the Mann-Whitney U test and the chi square test. Third, we assessed the determinants of ACOS using the prevalence ratio (PR) as a measure of effect and association. PRs were estimated by fitting unadjusted and adjusted Poisson regressions applying SAS procedure GENMOD, with logarithmic link function. We applied LSMEANS-statement to obtain the relative risk estimates and their 95% confidence intervals (CI). If a PR could not be estimated using the GENMOD-procedure, because of a small number of individuals in a category, we used 2 x 2 tabulation with logit estimation and a correction of 0.5 for the cell containing zero to obtain the crude relative risk and its 95% CI. We adjusted for gender, age, education (as an indicator for socioeconomic status), and personal smoking status (never, former, or current) in the multivariate analyses. We applied SAS statistical package v.9.4 (SAS Institute Inc., Cary, NC, USA) for statistical analyses.

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